

# Spectrum of singular $G_2$ -instantons

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May 14 2024

Simons Meeting May 2024, Durham, NC

Based on joint project with Henrique Sá Earp  
and Thomas Walpuski

*More colleagues might join*

- Background/motivation.
- results.
- More detail.

Point: Eigenvalues/spectrum of

the link operator  $P$ :

$(C^\infty)$  Dirac operator  $|_{Dom \rightarrow S^5}$

and corollaries.

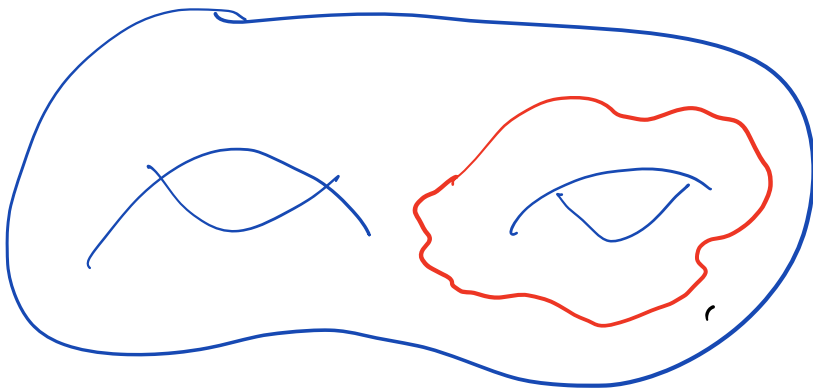
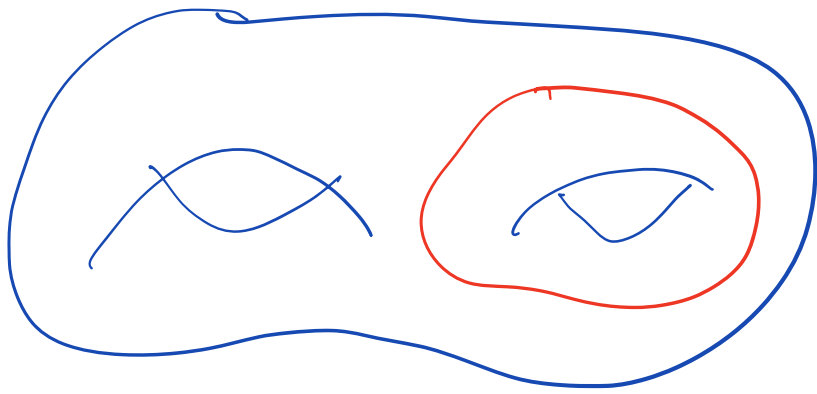
Donaldson-Thomas and Donaldson-Segal  
program.

Walpuski: Gluing construction of  $G_2$ -instantons  
with 1-dim singularities.

Tian, Tao-Tian: compactness and removability  
of singularity. *yes*.

Jacob-Walpuski: building blocks.

Jacob-Sá Earp-Walpuski. Chen-Sun.



Singular locus is an  
indep variable in the  
problem.

Recent singular perturbations, dim of singular locus  $\geq 1$ :

Donaldson, Takahashi, He, Parker, *He-Walpuski*

Donaldson-Segal, Haydys-Walpuski,  
Doan-Walpuski:

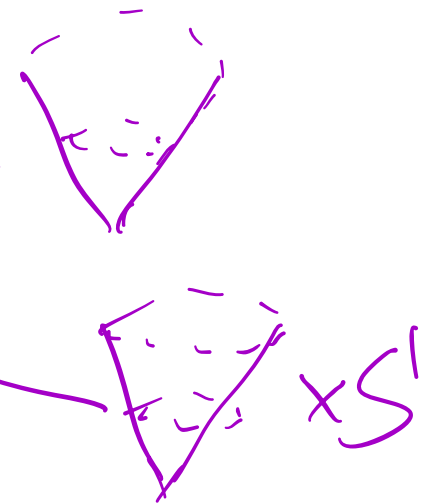
Associatives

Fueter Sections

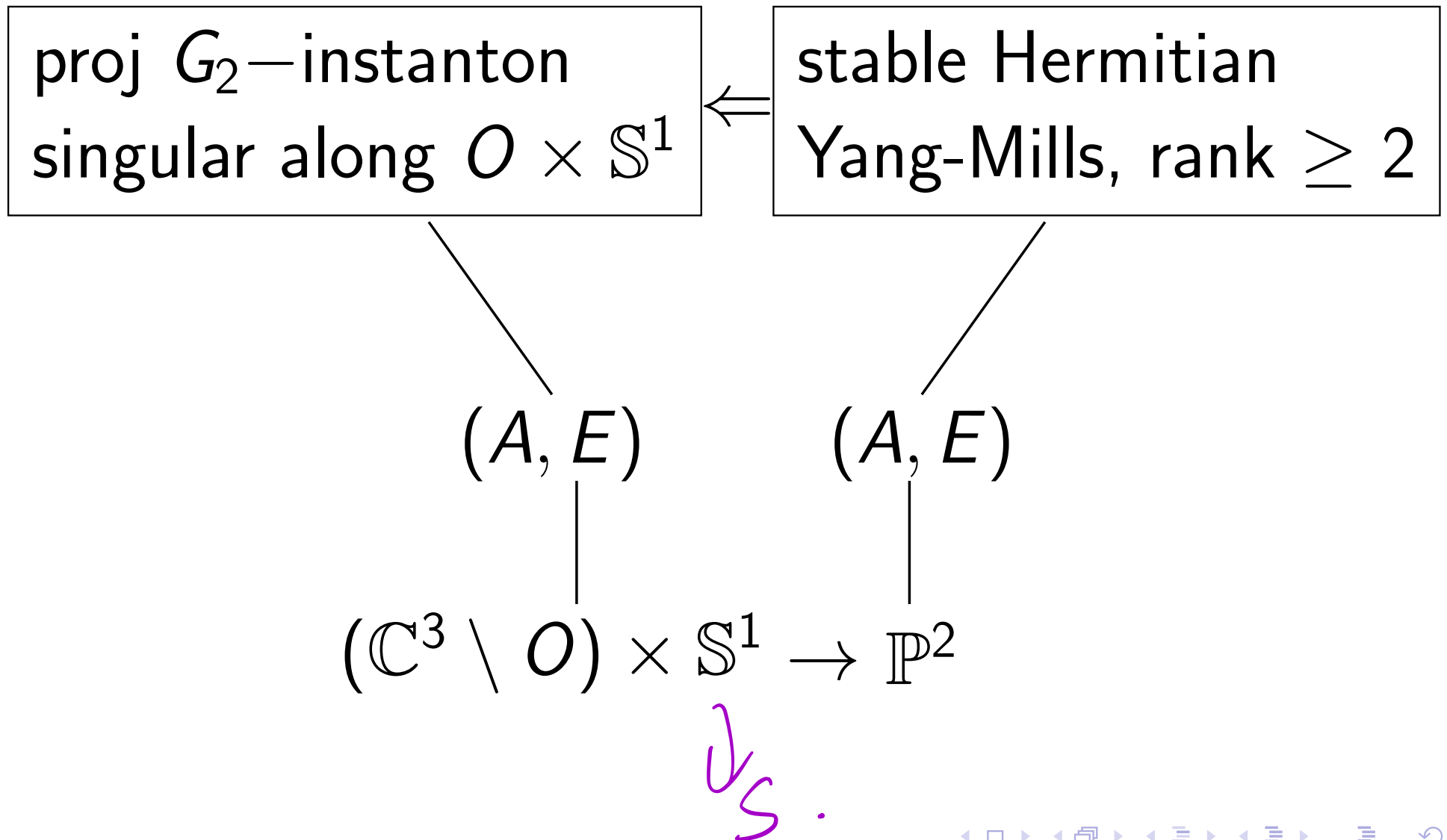
Seiberg-Witten  
(multiple spinors)

$C^\infty$  instantons  
Singular instantons

$G_2$ -Casson



# Local model of 1-dim singularities:





Gauge theoretic analogue  
of closed

$\sqrt{\kappa} \times \dot{M}$  in Rm Geom  
Minimal surface

Mazzeo - Smole

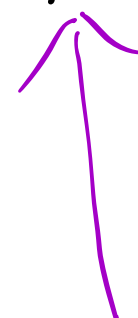
Serre duality: (Digression).

$$H^1[\mathbb{P}^2, (\text{End}E)(l)] = H^1[\mathbb{P}^2, (\text{End}E)(-l - 3)]$$

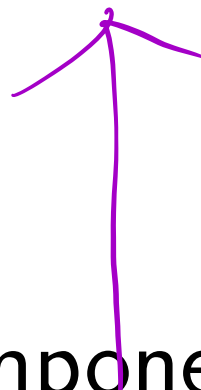
Reflection w.r.t  $-\frac{3}{2}$

$$\mu \longleftrightarrow -\mu - 3$$

$$\mu^2 + 2\mu - 3 \longleftrightarrow \mu^2 + 4\mu$$

$\mathbb{S}^5,$  $\eta^\perp$ 

$$Dom = (adE)^{\oplus 4} \oplus (D^* \otimes adE)$$



(5-components)

$\exists$  isometries (quaternion str)  $I, K$  on  $Dom$  s.t.  
 (model) linearization of instanton with  
 1-dimensional singularities is

$$L = \frac{\partial}{\partial s} \cdot I + K \cdot \left( \frac{\partial}{\partial r} - \frac{P}{r} \right)$$

compare  $\Delta_{\mathbb{R}^2} = \frac{\partial^2}{\partial r^2} + \frac{1}{r} \frac{\partial}{\partial r} + \frac{1}{r^2} \frac{\partial^2}{\partial \theta^2}$

$\mathbb{R}^2 - 0 = Cone(S^1)$

$$\frac{\partial}{\partial s} \cdot I = I \cdot \frac{\partial}{\partial s}, \text{ but } KP = -PK - 3K.$$

# Need

Analysis of (singular) linearized operators

Lockhart-McOwen, Melrose-Mendoza (isolated singularities). Mazzeo (edge singularities, general dimension), etc.

Indicial weights of

$L : \text{Weighted Sobolev Space}_0 \longrightarrow$   
 $\text{Weighted Sobolev Space}_1$

is determined by

eigenvalues of  $P$ .

$$0 < \nabla^* \nabla|_{S^5} \sim \begin{array}{c} adE \\ | \\ S^5 \end{array}$$

$$S_{\nabla^* \nabla} = \bigcup_{\lambda \in \text{Spec} \nabla^* \nabla|_{S^5}} \begin{array}{c} \mu^2 + 2\mu - 3 \\ // \\ = \lambda. \end{array}$$

$$\{-1 + \sqrt{4 + \lambda}, -1 - \sqrt{4 + \lambda},$$

$$-2 + \sqrt{4 + \lambda}, -2 - \sqrt{4 + \lambda}\}$$

$$\underbrace{\hspace{15em}}_{\mu^2 + 4\mu = \lambda}$$

$$S_{coh} = \{I \in \mathbb{Z} \mid H^1[\mathbb{P}^2, (EndE)(I)] \neq 0\}.$$

Thm [2019]:

$$SpecP = S_{\nabla^* \nabla} \cup S_{coh}.$$

Multiplicities and eigen-sections “explicit”.

Symmetric w.r.t  $-\frac{3}{2}$ .



$$L: \left. \begin{array}{l} B = O\left(\frac{1}{r^p}\right) \\ \nabla_A B = O\left(\frac{1}{r^{p+1}}\right) \end{array} \right\} \longrightarrow O\left(\frac{1}{r^{p+1}}\right)$$

— — —  $p \in \mathbb{R}$ .

# Quadratic nonlinearity

$$F_A = dA + \frac{1}{2}[A, A],$$

$$\frac{1}{r^p} \cdot \frac{1}{r^p} = O\left(\frac{1}{r^{p+1}}\right) \quad \text{if } p \leq 1$$

Need solution  $B$  to lin equ with  $|B| = O\left(\frac{1}{r}\right)$ .

$p = 1$  is interesting

Thm continued:

$$\text{Spec}P \cap (-3, 0) = \{-1, -2\}.$$

When  $j = 0, -1, -2, -3,$

$$\text{Eigen}_j P = H^1[\mathbb{P}^2, (\text{End}E)(j)]$$

Diffeomorphisms  $\chi_t$ . Solve

$$\star_t(F_{A+a}^0 \wedge \chi_t^* \psi) + \text{monopole term} = 0$$

with gauge fixing ( $A$  is instanton).

$$\text{Aux}(X) = \star[F_A^0 \wedge d(X \lrcorner \psi)]$$

if  $d\psi = 0$ .

# Partial analytic obstruction

$$\frac{H^1[\mathbb{P}^2, (\text{End}E)(-1)]}{\{ \textit{Atiyah Classes} \}}$$

vanishes iff  $E = T^{1,0}\mathbb{P}^2(k)$ .

$$\{ \textit{Atiyah Classes} \} = \{ r(Y \lrcorner F_A^0) \mid Y \in \mathbb{R}^6 \}.$$

# Proposition

*Under model data, local universal linearized equation*

$$\tilde{L}B = f$$

*has  $O(\frac{1}{r})$ -right inverse/ Green's function with  $C^0_{O(\frac{1}{r})}$ -a priori estimate  $\implies$*

$$A|_E = \text{twisted Fubini-Study connection} \\ |_{\mathcal{T}^{1,0}\mathbb{P}^2(k)}$$

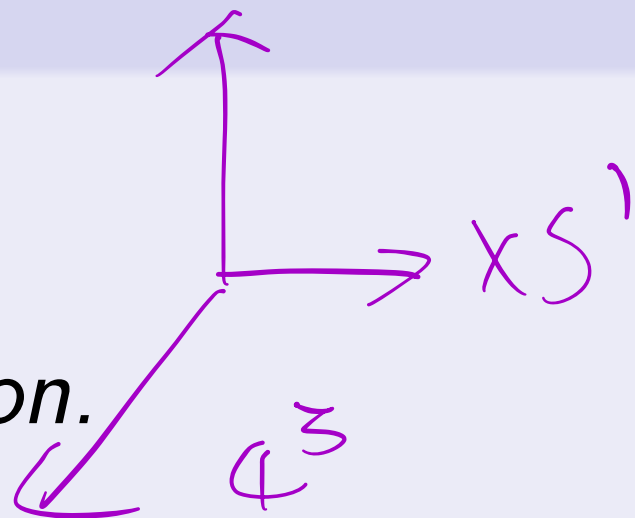
∃ global version, no extension assumption anymore.

# Proposition

Model data



$X S' \subset \mathbb{C}$



Fubini-Study tan cone connection.

$$L\alpha = 0,$$

$$\langle \alpha, L\xi \rangle \in L^1, \left[ \text{circle with } 0 \text{ and } \mathbb{R}/2\mathbb{Z} \text{ and } X S' \right]$$

$$\alpha \perp \text{RangeAux} \implies$$

$\alpha =$  section pulled back from  $\mathbb{C}^3$

+ non pullback with  $\mathbb{I}_\mu$  but not  $\mathbb{K}_\mu$



## Corollary

$$\alpha = \frac{1}{r}(\text{Eigen}_{-1} \text{ components}) + O(1)$$

$Lb = 0$  on  $CY^3 \times S^1$

$$b = \sum_{k \in \frac{2\pi}{\rho_0} \mathbb{Z}} e^{iks} b_k$$

$$\square_{CY^3} b_k = k b_k.$$

We can adjust length of circle to construct non-pullback harmonic sections.

On spectrum:

Moroianu-Semmelmann (deformation of nearly Kähler structures).

Charbonneau-Harland (deformation of nearly Kähler instantons).

Ikeda-Taniguchi ( $\text{Spec} \Delta_{\text{Hodge}}$  on  $\mathbb{P}^n$  and  $S^n$ ).

Examples of  $G_2$ -instantons multiple approaches, deformations/local structure of moduli:

Sá Earp-Walpuski, Sá Earp, Walpuski,

Sá Earp-Menet-Nordström, Platt, Gutwein.

Clarke, Oliveira, Oliveira-Lotay, Ball-Oliveira, Alonso, Waldron, Driscoll, Singhal, Fadel-Nagy-Oliveira etc.

Explicit info on Sasakian  $S^5 \implies$

$P$  is  $5 \times 5$  “matrix”:

Block-diagonal Bochner formulas for

$$P^2 + 2P - 3 \quad (\text{row } 1, 2 = \nabla^* \nabla)$$

$$P^2 + 4P \quad (\text{row } 3, 4)$$

$$\mu^2 + 2\mu - 3 = \lambda$$

$AdE$ –component (row 1 and 2) of an eigen-section of  $\mu$  of  $P$  must also be  $\lambda$ –eigensection of  $\nabla^*\nabla$  or 0. But if  $\mu$  is not a root, it can not be eigen-section.  $\implies$

it must be 0.

# ODEs of Fourier co-efficients

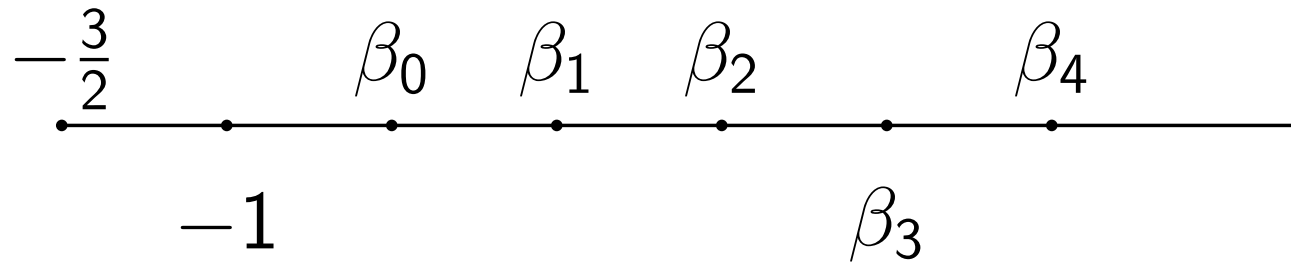
$$x'' + \frac{3x'}{r} - \frac{\beta(\beta + 2)x}{r^2} - k^2x = h.$$

Solutions to homogeneous equ:

$$\frac{K_{\beta+1}(kr)}{r}, \quad \frac{I_{\beta+1}(kr)}{r}$$

In  $\text{Spec}P \cap (-\frac{3}{2}, \infty)$

only  $\beta = -1$  could cause problem.



$\exists h_k(r)$  (forcing terms) supported away from 0  
s.t.  $O(1)$ –Fourier co-efficient must be

$$0 \cdot \frac{I_0(kr)}{r} + 0 \cdot \frac{K_0(kr)}{r}$$

+a good term

$$+ \frac{I_0(kr)}{r} \int_0^r K_0(ky) y^2 h_k(y) dy$$



$$I_0(kr) \sim \frac{e^{kr}}{\sqrt{2\pi kr}},$$

But

$$\int_0^r K_0(ky) \dots \dots \dots$$

decays at most polynomially in  $k$ , norms of the forcing terms grow at most polynomially in  $k$ .

Weight shift:  $|\phi_\beta|_{\mathbb{C}^3} = \frac{1}{r} |\phi_\beta|_{\mathbb{S}^5}$  (1-form nature).

$O(1)$ –Fourier coefficient  $x_\beta \implies$

$O(\frac{1}{r})$ –Fourier modes  $x_\beta \phi_\beta$ .

Hopf fibration  $S^5 \longrightarrow \mathbb{P}^2$  has Sasakian  
Quaternion structure.

$\xi$  : Reeb vector field  $|_{S^5}$ .

$\eta$  : contact 1-form  $|_{S^5}$ .

$$\left[ \frac{1}{2r^3} \left( r \frac{\partial}{\partial r} - i\xi \right) \right] \lrcorner dz_1 dz_2 dz_3$$

$$= H - iG$$

$$P = \begin{bmatrix} 1 & -L_\xi & 0 & 0 & -(d_0 \cdot) \lrcorner H \\ L_\xi & 1 & 0 & 0 & (d_0 \cdot) \lrcorner G \\ 0 & 0 & -4 & -L_\xi & d_0^{*0} \\ 0 & 0 & L_\xi & -4 & -(d_0 \cdot) \lrcorner \frac{d\eta}{2} \\ J_H d_0 & -J_G d_0 & d_0 & J_0 d_0 & -L_\xi J_0 \end{bmatrix}$$

$B$  is  $P$ -eigen-section, but not of  $S_{\nabla^* \nabla} \implies$

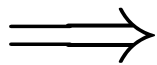
$B = b$  is semi-basic 1-form on  $S^5 \implies$

$$d_0 b \lrcorner H = d_0 b \lrcorner G =$$

$$d_0 b \lrcorner \frac{d\eta}{2} = d_0^{*0} b = 0$$

$G, H$  “(2, 0)” ,

$\frac{d\eta}{2}$  pullback Fubini-Study “(1, 1)”



$b^{0,1}$  is  $\bar{\partial}_0$  – harmonic

$EndE(I)$ : Fourier Series twisted by  $O(I)$ .

$$b^{0,1} = b^{0,1}(k)(X_0, X_1, X_2)^k$$

$EndE(k)$ –valued

$\bar{\partial}$ –harmonic

1–form on  $\mathbb{P}^2$

trivialization  
of  $O(-k)|_{S^5}$



Example:

$T\mathbb{P}^2(k)$ +representation theory/Peter Weyl  
formulation

$\Rightarrow$  explicit spectrum.

Row 1: Eigenvalues in  $[-4, 1]$ .

$-4$	$-2\sqrt{2} - 1$	$-2$	$-1$	$2\sqrt{2} - 2$	$1$
$12$	$16$	$6$	$6$	$16$	$12$

Row 2: Multiplicities.

## Corollary

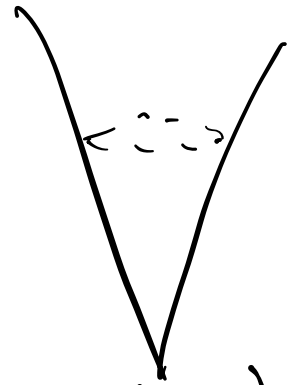
$\mathcal{F} \longrightarrow CY^3$  non-locally free admissible reflexive sheaf. At an admissible Hermitian connection,

$$\begin{aligned} & \text{Index } \square_{CY^3} \\ &= -2 \sum_j h^1[\mathbb{P}^2, \text{End} E_j(-1)] \\ & \quad - 2 \sum_j h^1[\mathbb{P}^2, \text{End} E_j]. \end{aligned}$$

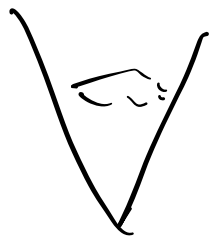
Deformation

of  $\text{HYM} |_{F \rightarrow \mathbb{C}P^3}$

(with Thomas Walpuski)



of  $\text{Spin}(7)$ -instantons with



(with Alex Waldron,

and Thomas Walpuski).

More colleague might join.

**C1m:** For any  $l \in \mathbb{Z}_+$ ,  $(a, b) = (2, l - 1)$  is the unique solution among  $\mathbb{Z}_{\geq 0} \times \mathbb{Z}_{\geq 0}$  to

$$\left\{ \begin{array}{l} a^2 + b^2 + ab + 3a + 3b = l^2 + 3l + 6, \\ 3 - a - 2b, b - a - 3 \leq l \\ \leq 2a + b - 3, 3 + b - a. \end{array} \right.$$

Putnam prob?

$$\begin{aligned}
& \tilde{L}_{model} \\
= & L_{model} - \\
& \left\{ \frac{\partial X_i}{\partial s} \cdot [(J_{\mathbb{C}^3} \mathbf{e}_i) \lrcorner F_A^0] + \frac{\partial X_i}{\partial r} \cdot J_H(\mathbf{e}_i \lrcorner F_A^0) \right\} \\
X = & X_s \frac{\partial}{\partial s} + \sum_{i=1}^6 X_i \mathbf{e}_i.
\end{aligned}$$

$X_s, X_i$  only depend on  $r, s$ .

supp near  $0 \times S^1$

$$\int_{\langle \mathbb{R}^6 \times S^1 \rangle} \langle Aux(X), \text{har sect } b = O\left(\frac{1}{r^3}\right) \rangle$$

$$= \dots \int_{S^1} \langle X, (b \rightarrow \mathbb{R}^6) \rangle_{\mathbb{R}^6} ds$$

Formula: Let  $X$  be  $C^1$  vector field supported in  $B_O(R) \times \mathbb{S}^1$ ,  $Lb = 0$ ,  $b = O(\frac{1}{r^3}) \implies$

$$\int_{B_O(R) \times \mathbb{S}^1} \langle \star[F_A \wedge d(X \lrcorner \psi)], b \rangle$$

$$= -c_0 \sum_j \int_{\mathbb{S}^1} \langle X(O_j, s),$$

$$\boxminus^{-1} J_H[\lim_{r \rightarrow 0} (r^2 P_{Eigen_{-2}} b)] \rangle_{\mathbb{R}^6} ds,$$