

Wall-crossing for Calabi–Yau fourfolds and applications

Arkadij Bojko

ETH Zürich, Department of Mathematics

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ETH zürich

Structure of the talk.

1. HOLOMORPHIC DONALDSON INVARIANTS AND ORIENTATIONS

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2. CONSTRUCTION OF FAMILIES OF VERTEX ALGEBRAS

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3. THE GENERAL STATEMENT ABOUT WALL-CROSSING
 - 3.1 THE GENERAL STATEMENT OF THE THEOREM.
 - 3.2 WHICH STABILITY CONDITIONS DO I USE?

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 - 4.1 WALL-CROSSING FORMULA FOR SURFACE COUNTING INVARIANTS.
 - 4.2 SIMPLIFYING ASSUMPTIONS.
 - 4.3 EXAMPLE IN THE CASE OF AN ELLIPTIC FIBRATION.

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5. FURTHER APPLICATIONS SOON.

Local models and orientations

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1. locally around each $E \in M$ it has the form

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3. This introduces a notion of **orientation torsor** given by a \mathbb{Z}_2 -choice of an isomorphism

$$o : \det \text{Ext}^2(E, E) \xrightarrow{\sim} \mathbb{C}$$

such that $o^2 = \det(B)$. Gluing these choices as E varies over M leads to the **orientation bundle** $\mathcal{O} \rightarrow M$.

Virtual fundamental classes

1. The orientation bundles have been shown to be **trivializable** for (compactly supported) sheaves ¹ by Cao–Gross–Joyce (19') in the **compact case** and in B.(20') **in full generality**.

¹More generally compactly supported perfect complexes.

²This is why Borisov–Joyce construction is more intuitive as it includes working with real (derived) manifolds of real virtual dimensions with orientations while this point of view is lost in the work of Oh–Thomas(20'). The latter, on the other hand, offers useful computational tools.

Virtual fundamental classes

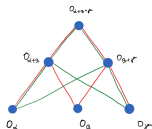
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3. As is clear from Dominic's talk, **wall-crossing** is expressed in terms of taking **direct sums** of sheaves. So, comparing orientations under direct sums is needed.



Here α, β, γ is some topological data and comparison gives signs $\epsilon_{\alpha, \beta}$.

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Splitting

1. Suppose that our **CY4 obstruction theory** splits into two parts

$$\boxed{E_{x^t} \wedge \Lambda} \\ \oplus \\ \Lambda^* \quad (E_{x^t})^*$$

then essentially everything reduces to the wall-crossing Dominic presented³ for the standard **Behrend–Fantechi obstruction theories**. We obviously want to do more as this is rather **restrictive**.

³See Liu (22') in the case of CY3.

Definition of families of vertex algebras.

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$$Y_u: V_\bullet \longrightarrow \text{End}(V_\bullet)[[z, z^{-1}]][[u]],$$

for $\deg(u) = 0, \deg(z) = -2$ extending to a **(u) -adic continuous $\mathbb{Q}[[u]]$ -linear** map

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It must additionally induce

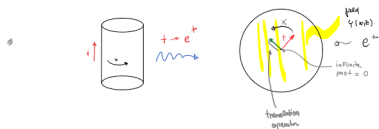
$$Y_u(v, z) = \sum_{n \in \mathbb{Z}} v_{u,n} z^{-n-1} : V_\bullet \rightarrow V_\bullet((z))[[u]],$$

for each $v \in V_\bullet \subset V_\bullet[[u]]$ and

$$v_{u,n} : V_\bullet \rightarrow V_\bullet[[u]]$$

linear for each $n \in \mathbb{Z}$.

Families of Vertex algebras in a picture

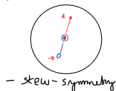


$\lim_{|z| \rightarrow 0} Y(u, z)|0\rangle = u$ - state-field correspondence

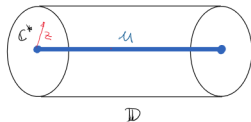
• $e^{zT}|0\rangle = |0\rangle, Y(10, z) = 10$
- vacuum invariance

• $(z_1 - z_2)^N Y(u, z_1) Y(w, z_2) = (z_1 - z_2)^N Y(uw, z_2) Y(u, z_1)$

• $Y(u, z)w = e^{zT} Y(w, z)u$



Family of vertex algebras



Axioms of families of vertex algebras.

They are required to satisfy the following **set of conditions** (see Li (02') for a different formulation):

1. (*vacuum*) $T|0\rangle = 0$, $Y_u(|0\rangle, z) = \text{id}$, $Y_u(v, z)|0\rangle \in v + zV_{\bullet}[[u, z]]$,

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3. (*locality*) for any $v, w \in V_\bullet$ and $k \geq 0$, there is an $N \gg 0$ such that the *truncated fields*

$$Y_{\leq k}(v, z) := \sum_{n=0}^k u^n [t^n] \{ Y_t(v, z) \}. \quad (1)$$

satisfy

$$(z_1 - z_2)^N [Y_{\leq k}(v, z_1), Y_{\leq k}(w, z_2)] = 0,$$

where the **supercommutator** is defined on $\text{End}(V_\bullet)[[u]]$ by

$$[A, B] = A \circ B - (-1)^{|A||B|} B \circ A.$$

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The reason for introducing the truncation and $Y_u(v, z)$ mapping to $V_\bullet((z))[[u]]$ rather than $V_\bullet[[u]]((z))$ will become apparent from their **geometric construction**.

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Geometric construction I

The underlying vector space for the geometric construction of the vertex algebras is

$$V_{\bullet} = H_{\bullet + \text{vdim}_{\mathbb{C}}}(\mathcal{M}_X)$$

where \mathcal{M}_X is the **stack of sheaves**⁶.

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2. There is a **K-theory class** Θ on $\mathcal{M}_X \times \mathcal{M}_X$ given by the dual of

$$\text{Ext}^{\bullet}(E, F) \quad \text{at} \quad (E, F) \in \mathcal{M}_X \times \mathcal{M}_X.$$

It is clearly **additive** with respect to taking direct sums and **multiplicative** with respect to ρ .

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3. **(New)** Consider the trivial \mathbb{C}^* action on $\mathcal{M}_X \times \mathcal{M}_X$ and e^u the **weight one** line bundle. Take an equivariant K-theory class Ω_u on $\mathcal{M}_X \times \mathcal{M}_X$. It must satisfy the same additivity and scaling property that Ext did. I introduce

$$\Theta_u = \Theta + \Omega_u^\vee + \sigma^* \Omega_u.$$

4. Letting \mathcal{M}_α denote a connected component associated to an $\alpha \in K_{\text{sst}}^0(X)$, we also define

$$\kappa(\alpha, \beta) = \text{rk}(\Omega_u|_{\mathcal{M}_\alpha \times \mathcal{M}_\beta}), \quad \chi_\Omega(\alpha, \beta) = \chi(\alpha, \beta) + \kappa(\alpha, \beta) + \kappa(\beta, \alpha).$$

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Geometric construction II

Definition

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$$Y_u(v, z)v' = (-1)^{\kappa(\alpha, \beta) + a\chi_\Omega(\beta, \beta)} \epsilon_{\alpha, \beta} z^{\chi_\Omega(\alpha, \beta)} \\ \Sigma_* \left[(e^{zT} \otimes \text{id})(v \boxtimes v' \cap c_{z-1}(\Theta_u)) \right],$$

where Σ is the direct sum map $\mathcal{M}_X \times \mathcal{M}_X \rightarrow \mathcal{M}_X$.

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Consider **example**

$$\Omega_u|_{\mathcal{M}_\alpha \times \mathcal{M}_\beta} = e^u \mathcal{O}^{\oplus \chi(\alpha, \beta)},$$

then

$$c_{z^{-1}}(\Omega_u|_{\mathcal{M}_\alpha \times \mathcal{M}_\beta}) = (1 + z^{-1}u)^{\chi(\alpha, \beta)}.$$

It becomes clear that we need to put restrictions on powers of u for any kind of vertex algebra axioms to be satisfied, because for $\chi(\alpha, \beta) < 0$, we have an infinite power-series in z^{-1} .

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Theorem (B.(??) extending Joyce (17')⁷)

The data $(V_\bullet, Y_u, T, |0\rangle)$ defines a formal u -family of vertex algebras.

⁷Though the proof is different.

Families of Lie algebras

To understand how vertex algebras are applied to wall-crossing, first, construct a u -family of Lie algebras by

Definition

Starting from a u -family of vertex algebras $(V_\bullet, Y_u, T, |0\rangle)$, define a u -family of Lie algebras $(Q_\bullet, [-, -]_u)$ for

$$Q_\bullet = V_{\bullet+2}/TV_\bullet$$

by

$$[\bar{v}, \bar{w}]_u = \overline{v_{u,0}w}, \quad \forall v, w \in V_\bullet[[u]]$$

and $\overline{(-)}$ denotes the associated class in the quotient. ⁸

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Outside of the 0 component, we have $Q_\bullet = H_{\bullet+\text{vdim}_{\mathbb{C}}}(\mathcal{M}_X^{\text{rig}})$, where $\mathcal{M}_X^{\text{rig}}$ is the quotient by the action of $[\ast/\mathbb{G}_m]$ and we use a **non-standard symmetric** obstruction theory on it.

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2. This construction is **not specific** to CY4, I already have applications in mind for surfaces too.

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What is the main statement?

Assumption

Fix two **stability conditions** σ_0, σ_1 and assume that a long list of assumptions holds. One of them is that all the moduli spaces of semistable objects appearing in the proof are **projective (or at least proper)** for all stability conditions interpolating between σ_0 and σ_1 ⁹.

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1. Then there exist classes $\langle \mathcal{M}_\alpha^\sigma \rangle_u \in Q_\bullet[u]$ counting σ -semistables in class α such that

$$\langle \mathcal{M}_\alpha^\sigma \rangle_u = [M_\alpha^\sigma]_{\text{vir}} \cap c_{\text{rk}}(\Delta^* \Omega_u)$$

when there are **no strictly semistables**. Here, the class $[M_\alpha^\sigma]_{\text{vir}}$ is the pushforward along the open embedding $M_\alpha^\sigma \hookrightarrow \mathcal{M}_X^{\text{rig}}$ of $[M_\alpha^\sigma]^{\text{vir}}$.

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Theorem

Let σ_i be two stability conditions for $i = 0, 1$, then for each $\alpha \in K^0(X)$ the classes $\langle M_\alpha^{\sigma_i} \rangle_u$ satisfy

$$\langle M_\alpha^{\sigma_1} \rangle_u = \sum_{\bar{\alpha} \vdash \alpha} (\text{coeff.}) \left[\cdots \left[\langle M_{\alpha_1}^{\sigma_0} \rangle_u, \langle M_{\alpha_2}^{\sigma_0} \rangle_u \right]_u, \cdots, \langle M_{\alpha_k}^{\sigma_0} \rangle_u \right]_u$$

whenever the Assumptions hold.

⁹I am fiddling with the assumptions right now to make them as easy to check and as general as possible. And that is difficult.

What is the main statement?

Assumption

Fix two **stability conditions** σ_0, σ_1 and assume that a long list of assumptions holds. One of them is that all the moduli spaces of semistable objects appearing in the proof are **projective (or at least proper)** for all stability conditions interpolating between σ_0 and σ_1 ⁹.

1. Then there exist classes $\langle \mathcal{M}_\alpha^\sigma \rangle_u \in \mathbf{Q}_\bullet[u]$ counting σ -semistables in class α such that

$$\langle \mathcal{M}_\alpha^\sigma \rangle_u = [M_\alpha^\sigma]_{\text{vir}} \cap c_{\text{rk}}(\Delta^* \Omega_u)$$

when there are **no strictly semistables**. Here, the class $[M_\alpha^\sigma]_{\text{vir}}$ is the pushforward along the open embedding $M_\alpha^\sigma \hookrightarrow \mathcal{M}_X^{\text{rig}}$ of $[M_\alpha^\sigma]^{\text{vir}}$.

Theorem

Let σ_i be two stability conditions for $i = 0, 1$, then for each $\alpha \in K^0(X)$ the classes $\langle M_\alpha^{\sigma_i} \rangle_u$ satisfy

$$\langle M_\alpha^{\sigma_1} \rangle_u = \sum_{\bar{\alpha} \vdash \alpha} (\text{coeff.}) \left[\cdots \left[\langle M_{\alpha_1}^{\sigma_0} \rangle_u, \langle M_{\alpha_2}^{\sigma_0} \rangle_u \right]_u, \cdots, \langle M_{\alpha_k}^{\sigma_0} \rangle_u \right]_u$$


whenever the Assumptions hold.

2. To understand some **applications** of this statement, let me first describe the stability conditions I use.

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What stability conditions?

1. *Stair polynomial stability condition* is a generalization of *standard polynomial stability* condition of Bayer with an application to surface counting in Calabi–Yau fourfolds.

¹⁰A subcategory of sheaves E with $p(\dim \operatorname{supp}(E)) = i$ and no torsion in dimensions d with $p(d) > i$ ▶ 

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1. *Stair polynomial stability condition* is a generalization of *standard polynomial stability* condition of Bayer with an application to surface counting in Calabi–Yau fourfolds.
2. Recall that for constructing the latter, one uses a *perverse function*

$$p : \{0, 1, 2, 3, 4\} \rightarrow \mathbb{Z}$$

which is non-increasing and changes in steps at most 1.



Figure: Perverse function.

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Figure: Perversity function.

3. Assign to it a *heart (of a bounded t-structure)* \mathcal{A}^p consisting of complexes E^\bullet with

$$H^i(E^\bullet) \in \text{Coh}_{p^{-1}(i)}(X)^{10}.$$

E.g. if $p : \{0, 1\} \rightarrow \{0\}$ and $\{2, 3, 4\} \rightarrow \{-1\}$, then we recover the *tilted category* associated to the torsion pair

$$\langle \text{Coh}_{\leq 1}(X), \text{Coh}_{\geq 2}(X) \rangle.$$

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Standard polynomial Bridgeland stability

1. The standard stability condition is given on \mathcal{A}^P by the *central charge*

$$Z_t(E) = \sum_{i=0}^4 (-1)^{p(i)} \rho_i t^i \int_X \text{ch}(E) H^i \cdot U$$

where H is an ample divisor, U a unipotent transformation, $(-1)^{p(i)} \rho_i \in \mathbb{H}$ and the phases of ρ_i move in the *clockwise direction* as dimension of support increases,

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2. Instead of comparing phases $\phi_t(E) = \arg_{(0, \pi]} Z_t(E)$ for $t \rightarrow \infty$, which would recover the usual Bridgeland stability, one compares their germs at $t = \infty$.

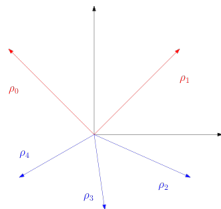


Figure: Standard polynomial stability

Stair polynomial Bridgeland stability

1. Define a **stair function** $s : \{0, 1, 2, 3, 4\} \rightarrow \mathbb{Z}$ satisfying

$$s(i-1) \leq s(i) \leq s(i-1) + 1$$

reversing Figure 1 and looking **like ascending stairs**.

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3. Replace the previous definition of ρ_i by the **group homomorphisms**

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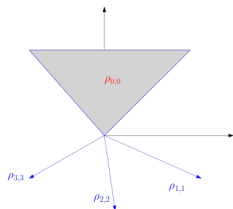
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4. To make them well-behaved, we require the phases of $\rho_{k,k}$ to move **clockwise** as we increase $\dim \text{supp}$:



An important example/motivation


1. Take

$$s([0, 1]) = 0 \quad s(i) = i - 1 \quad \text{for } i \geq 2,$$
$$\tilde{\rho}(\{0\}) = 0, \quad \tilde{\rho}(\{1, 2, 3\}) = -1,$$

giving

$$\mathcal{A}^P = \langle \text{Coh}_{\geq 2}(X), \text{Coh}_{\leq 1}(X)[-1] \rangle.$$

¹¹Comparing $DT = PT^{(-1)}$ and $PT^{(0)}$ is standard from the point of view of stability conditions.

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2. Adapting the work of Pandharipande–Thomas(07') to Calabi–Yau fourfolds, Bae–Kool–Park(22') defined $PT^{(i)}$ stable pairs

$$\mathcal{O}_X \xrightarrow{s} F$$

for $i = -1, 0, 1$, where F has 2 dimensional support and

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3. The next family of stability conditions **interpolates** between $PT^{(0)}$ and $PT^{(i)}$ ¹¹

Definition

Using $\rho_{i,j} = \rho_i$, the P^t -stability is defined on \mathcal{A}^P for each $t \in \mathbb{R}$ by

$$\begin{aligned} \rho_0 : H^0(X) \oplus H^2(X) &\rightarrow \mathbb{H}, & (\beta, n) &\mapsto -n + i(\beta \cdot H), \\ -\rho_1 : H^4(X) &\rightarrow \mathbb{H}, & \gamma &\mapsto -\gamma \cdot H^2, \\ -\rho_3 : H^8(X) &\rightarrow \mathbb{H}, & r &\mapsto r(-t + i). \end{aligned}$$

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Example in picture

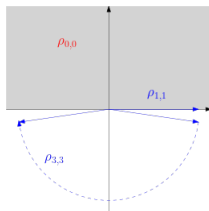


Figure: The grey region represents ≤ 1 -dimensional sheaves which are spread out across the upper half-plane. The wall-crossing happens whenever $-\rho_3$ crosses a ray of the phase $\arctan n/\beta \cdot \omega + \pi/2$ for some $(\beta, n) \in N_{\leq 1}(X)$.

An example of an application

1. If additional assumptions are checked, the last example of stability conditions gives us the **wall-crossing formula**

$$[\mathrm{PT}_{(\gamma, \delta)}^{(0)}]_{\mathrm{vir}} = \sum_{\substack{\delta \vdash \delta \\ l(\delta) = k}} \frac{(-1)^k}{k!} \left[\cdots \left[\mathrm{PT}_{(\gamma, \delta_0)}^{(1)} \right]_{\mathrm{vir}}, [M_{\delta_1}]^{\mathrm{in}}, \cdots, [M_{\delta_k}]^{\mathrm{in}} \right],$$

where $\delta_i \in H^{\geq 6}(X)$ and $\gamma \in H^4(X)$. I set $\Omega_U = 0$ here.

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2. Next fix a line bundle L on X and construct a family of vertex algebras for the class Ω_u where

$$\Omega_u|_{\{E\}, \{F\}} = e^u \mathrm{Ext}^\bullet(E, F \otimes L) + \text{correction terms}.$$

Its restriction $\iota^* \circ \Delta_{\mathcal{M}_X}^*(\Omega_u)$ to $\mathrm{PT}_{(\gamma, \delta)}^{(i)}$ is given by

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3. This leads to

$$\langle \mathrm{PT}_{(\gamma,\delta)}^{(0)} \rangle_u = \sum_{\substack{\delta \vdash \delta \\ \text{length}(\delta)=k}} \frac{(-1)^k}{k!} \left[\cdots \left[\langle \mathrm{PT}_{(\gamma,\delta_0)}^{(1)} \rangle_u, [M_{\delta_1}]^{\mathrm{in}} \right]_u, \cdots, [M_{\delta_k}]^{\mathrm{in}} \right]_u.$$

Taking coefficients

1. From the definition of invariants, conclude

$$\begin{aligned}\langle \text{PT}_{\gamma, \delta}^{(i)} \rangle_{\mathbf{u}} &= [\text{PT}_{\gamma, \delta}^{(i)}]^{\text{vir}} \cap c_{rk}(e^{\mathbf{u}} L[\gamma, \delta]) \\ &= [\text{PT}_{\gamma, \delta}^{(i)}]^{\text{vir}} \cap \mathbf{u}^{\frac{\gamma}{2} c_1(L)^2 + \delta c_1(L) + n} c_{\mathbf{u}-1}(L[\gamma, \delta])\end{aligned}$$

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2. Combining with $\deg_{\mathbb{C}}([\text{PT}_{\gamma, \delta}^{(i)}]^{\text{vir}}) = n - \frac{\gamma^2}{2}$ leaves us with

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3. Notice that the expression depends only on γ if the **orthogonality assumption** $\delta c_1(L) = 0$ holds. This motivates the following

Assumption

In the $\text{PT}^{(0)}/\text{PT}^{(1)}$ wall-crossing formula, assume that

$$(\delta - \delta_0) \cdot c_1(L)$$

always holds.

Conjecture of Bae–Kool–Park

1. Under this assumption, taking $[u^{\frac{\gamma}{2}(c_1(L)^2 + \gamma)] \{ - \}$ in the wall-crossing formula for $\text{PT}^{(0)}/\text{PT}^{(1)}$ leads to

$$\langle \text{PT}_{\gamma, \delta}^{(0)} \rangle^L = \sum_{\substack{\vec{\delta} \vdash \delta \\ k \geq 0}} \langle \text{PT}_{\gamma, \delta_0}^{(1)} \rangle^L \frac{(-1)^k}{k!} \left[\dots \left[e^{(-1, 0, \gamma, \delta_0)}, [M_{\delta_1}^{\text{ss}}]_{\text{in}} \right]^L, \dots, [M_{\delta_k}^{\text{ss}}]_{\text{in}} \right]^L$$

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2. Let us now take an elliptic fibration $\pi : X \rightarrow B$ with a base B^{13} and set $L = \mathcal{O}_X$ which always satisfies the orthogonality assumption.

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- Let us now take an elliptic fibration $\pi : X \rightarrow B$ with a base B^{13} and set $L = \mathcal{O}_X$ which always satisfies the orthogonality assumption.
- For the class $(\gamma, \delta) = \pi^*(\beta, n)$ for $(\beta, n) \in H^{\geq 4}(B)$ Bae–Kool–Park define

$$\langle\langle \text{PT}_{\gamma, \delta}^{(0)} \rangle\rangle^{\mathcal{O}_X} = \sum_{d \geq 0} \langle \text{PT}_{\gamma, \delta + dE}^{(0)} \rangle^{\mathcal{O}_X} q^d$$

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$$\langle\langle \text{PT} \rangle\rangle^{\mathcal{O}_X} = \sum_{d \geq 0} \langle \text{PT}_{dE} \rangle^{\mathcal{O}_X} q^d$$

Here E is the Poincaré dual of a fiber class and PT stands for the usual PT stable pairs which are just $\text{PT}^{(0)}$ stable pairs with $\gamma = 0$.

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Proving BKP conjecture and more

1. Up to a simple **structural assumption** on $[M_{dE,n}]^{\text{in}}$ ¹⁴ that I expect to be able to prove once I return to this point, I can show that

Conjecture (Bae–Kool–Park)

The $\text{PT}^{(0)}/\text{PT}^{(1)}$ correspondence

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holds.

2. This is because in the wall-crossing formula twisted by \mathcal{O}_X **only** the classes $[M_{dE,n}]^{\text{in}}$ contribute. Any bracket with $[M_{dE,n}]^{\text{in}}$ for $n \neq 0$ up to a small additional term is almost **trivially zero**.

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5. **Further applications:** Proves my computations of $[\text{Hilb}^n(X)]^{\text{vir}}$, $[\text{Quot}_X(E, n)]^{\text{vir}}$ and expresses them in the framework of families of vertex algebras, proves **DT/PT** and **DT/PT⁽⁰⁾** correspondences using families of vertex algebras, many more...

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