

# Wall-crossing for Calabi–Yau fourfolds and applications

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**ETH** zürich

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### 1. HOLOMORPHIC DONALDSON INVARIANTS AND ORIENTATIONS

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  - 3.1 THE GENERAL STATEMENT OF THE THEOREM.
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  - 4.2 SIMPLIFYING ASSUMPTIONS.
  - 4.3 EXAMPLE IN THE CASE OF AN ELLIPTIC FIBRATION.

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3. This introduces a notion of **orientation torsor** given by a  $\mathbb{Z}_2$ -choice of an isomorphism

$$o : \det \text{Ext}^2(E, E) \xrightarrow{\sim} \mathbb{C}$$

such that  $o^2 = \det(B)$ . Gluing these choices as  $E$  varies over  $M$  leads to the **orientation bundle**  $\mathcal{O} \rightarrow M$ .

## Virtual fundamental classes

1. The orientation bundles have been shown to be **trivializable** for (compactly supported) sheaves <sup>1</sup> by Cao–Gross–Joyce (19') in the **compact case** and in B.(20') **in full generality**.

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<sup>2</sup>This is why Borisov–Joyce construction is more intuitive as it includes working with real (derived) manifolds of real virtual dimensions with orientations while this point of view is lost in the work of Oh–Thomas(20'). The latter, on the other hand, offers useful computational tools.

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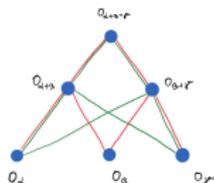
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3. As is clear from Dominic's talk, **wall-crossing** is expressed in terms of taking **direct sums** of sheaves. So, comparing orientations under direct sums is needed.



Here  $\alpha, \beta, \gamma$  is some topological data and comparison gives signs  $\epsilon_{\alpha, \beta}$ .

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# Splitting

1. Suppose that our **CY4 obstruction theory** splits into two parts

$$\boxed{E_{x^t} \wedge \Lambda} \\ \oplus \\ \Lambda^* \quad (E_{x^t})^*$$

then essentially everything reduces to the wall-crossing Dominic presented<sup>3</sup> for the standard **Behrend–Fantechi obstruction theories**. We obviously want to do more as this is rather **restrictive**.

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<sup>3</sup>See Liu (22') in the case of CY3.

## Definition of families of vertex algebras.

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3. and a **formal  $u$ -family** of **state-field correspondences** which is a degree zero linear map

$$Y_u: V_\bullet \longrightarrow \text{End}(V_\bullet)[[z, z^{-1}]][[u]],$$

for  $\deg(u) = 0, \deg(z) = -2$  extending to a  **$(u)$ -adic continuous  $\mathbb{Q}[[u]]$ -linear** map

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It must additionally induce

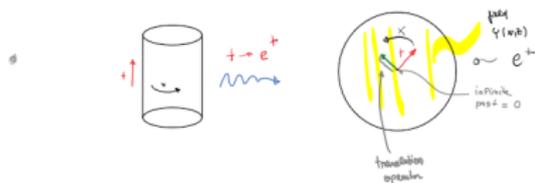
$$Y_u(v, z) = \sum_{n \in \mathbb{Z}} v_{u,n} z^{-n-1} : V_\bullet \rightarrow V_\bullet((z))[[u]],$$

for each  $v \in V_\bullet \subset V_\bullet[[u]]$  and

$$v_{u,n} : V_\bullet \rightarrow V_\bullet[[u]]$$

linear for each  $n \in \mathbb{Z}$ .

# Families of Vertex algebras in a picture

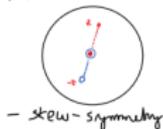


$\lim_{|z| \rightarrow 0} Y(w, z)|0\rangle = w$  - state-field correspondence

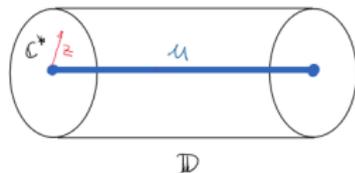
•  $e^{zT}|0\rangle = |0\rangle, Y(10, z) = \text{id}$   
- vacuum invariance

•  $(z_1 - z_2)^N Y(w_1, z_1) Y(w_2, z_2) = (z_1 - z_2)^N Y(w_1, z_2) Y(w_2, z_1)$

•  $Y(w_1, z)w = e^{zT} Y(w_1 - z, w)$



Family of vertex algebras



## Axioms of families of vertex algebras.

They are required to satisfy the following **set of conditions** (see Li (02') for a different formulation):

1. (*vacuum*)  $T|0\rangle = 0$ ,  $Y_u(|0\rangle, z) = \text{id}$ ,  $Y_u(v, z)|0\rangle \in v + zV_{\bullet}[[u, z]]$ ,

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3. (*locality*) for any  $v, w \in V_\bullet$  and  $k \geq 0$ , there is an  $N \gg 0$  such that the *truncated fields*

$$Y_{\leq k}(v, z) := \sum_{n=0}^k u^n [t^n] \{ Y_t(v, z) \}. \quad (1)$$

satisfy

$$(z_1 - z_2)^N [Y_{\leq k}(v, z_1), Y_{\leq k}(w, z_2)] = 0,$$

where the **supercommutator** is defined on  $\text{End}(V_\bullet)[[u]]$  by

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The reason for introducing the truncation and  $Y_u(v, z)$  mapping to  $V_\bullet((z))[[u]]$  rather than  $V_\bullet[[u]]((z))$  will become apparent from their **geometric construction**.

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## Geometric construction I

The underlying vector space for the geometric construction of the vertex algebras is

$$V_{\bullet} = H_{\bullet + \text{vdim}_{\mathbb{C}}}(\mathcal{M}_X)$$

where  $\mathcal{M}_X$  is the **stack of sheaves**<sup>6</sup>.

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2. There is a **K-theory class**  $\Theta$  on  $\mathcal{M}_X \times \mathcal{M}_X$  given by the dual of

$$\text{Ext}^{\bullet}(E, F) \quad \text{at} \quad (E, F) \in \mathcal{M}_X \times \mathcal{M}_X.$$

It is clearly **additive** with respect to taking direct sums and **multiplicative** with respect to  $\rho$ .

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3. **(New)** Consider the trivial  $\mathbb{C}^*$  action on  $\mathcal{M}_X \times \mathcal{M}_X$  and  $e^u$  the **weight one** line bundle. Take an equivariant K-theory class  $\Omega_u$  on  $\mathcal{M}_X \times \mathcal{M}_X$ . It must satisfy the same additivity and scaling property that  $\text{Ext}$  did. I introduce

$$\Theta_u = \Theta + \Omega_u^\vee + \sigma^* \Omega_u.$$

4. Letting  $\mathcal{M}_\alpha$  denote a connected component associated to an  $\alpha \in K_{\text{sst}}^0(X)$ , we also define

$$\kappa(\alpha, \beta) = \text{rk}(\Omega_u|_{\mathcal{M}_\alpha \times \mathcal{M}_\beta}), \quad \chi_\Omega(\alpha, \beta) = \chi(\alpha, \beta) + \kappa(\alpha, \beta) + \kappa(\beta, \alpha).$$

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## Geometric construction II

### Definition

Construct the **formal family of vertex algebras** on  $V_\bullet$  by setting

$$Y_u(v, z)v' = (-1)^{\kappa(\alpha, \beta) + a\chi_\Omega(\beta, \beta)} \epsilon_{\alpha, \beta} z^{\chi_\Omega(\alpha, \beta)} \Sigma_* \left[ (e^{zT} \otimes \text{id})(v \boxtimes v' \cap c_{z-1}(\Theta_u)) \right],$$

where  $\Sigma$  is the direct sum map  $\mathcal{M}_X \times \mathcal{M}_X \rightarrow \mathcal{M}_X$ .

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Consider **example**

$$\Omega_u|_{\mathcal{M}_\alpha \times \mathcal{M}_\beta} = e^u \mathcal{O}^{\oplus \chi(\alpha, \beta)},$$

then

$$c_{z^{-1}}(\Omega_u|_{\mathcal{M}_\alpha \times \mathcal{M}_\beta}) = (1 + z^{-1}u)^{\chi(\alpha, \beta)}.$$

It becomes clear that we need to put restrictions on powers of  $u$  for any kind of vertex algebra axioms to be satisfied, because for  $\chi(\alpha, \beta) < 0$ , we have an infinite power-series in  $z^{-1}$ .

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### Theorem (B.(??) extending Joyce (17')<sup>7</sup>)

*The data  $(V_\bullet, Y_u, T, |0\rangle)$  defines a formal  $u$ -family of vertex algebras.*

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# Families of Lie algebras

To understand how vertex algebras are applied to wall-crossing, first, construct a  $u$ -family of Lie algebras by

## Definition

Starting from a  $u$ -family of vertex algebras  $(V_\bullet, Y_u, T, |0\rangle)$ , define a  $u$ -family of Lie algebras  $(Q_\bullet, [-, -]_u)$  for

$$Q_\bullet = V_{\bullet+2}/TV_\bullet$$

by

$$[\bar{v}, \bar{w}]_u = \overline{v_{u,0}w}, \quad \forall v, w \in V_\bullet[[u]]$$

and  $\overline{(-)}$  denotes the associated class in the quotient. <sup>8</sup>

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Outside of the 0 component, we have  $Q_\bullet = H_{\bullet+\text{vdim}_{\mathbb{C}}}(\mathcal{M}_X^{\text{rig}})$ , where  $\mathcal{M}_X^{\text{rig}}$  is the quotient by the action of  $[\ast/\mathbb{G}_m]$  and we use a **non-standard symmetric** obstruction theory on it.

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2. This construction is **not specific** to CY4, I already have applications in mind for surfaces too.

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# What is the main statement?

## Assumption

Fix two **stability conditions**  $\sigma_0, \sigma_1$  and assume that a long list of assumptions holds. One of them is that all the moduli spaces of semistable objects appearing in the proof are **projective (or at least proper)** for all stability conditions interpolating between  $\sigma_0$  and  $\sigma_1$ <sup>9</sup>.

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when there are **no strictly semistables**. Here, the class  $[M_\alpha^\sigma]_{\text{vir}}$  is the pushforward along the open embedding  $M_\alpha^\sigma \hookrightarrow \mathcal{M}_X^{\text{rig}}$  of  $[M_\alpha^\sigma]^{\text{vir}}$ .

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## Theorem

Let  $\sigma_i$  be two stability conditions for  $i = 0, 1$ , then for each  $\alpha \in K^0(X)$  the classes  $\langle M_\alpha^{\sigma_i} \rangle_u$  satisfy

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2. To understand some **applications** of this statement, let me first describe the stability conditions I use.

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## What stability conditions?

1. *Stair polynomial stability condition* is a generalization of *standard polynomial stability* condition of Bayer with an application to surface counting in Calabi–Yau fourfolds.

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2. Recall that for constructing the latter, one uses a *perverseity function*

$$p : \{0, 1, 2, 3, 4\} \rightarrow \mathbb{Z}$$

which is non-increasing and changes in steps at most 1.

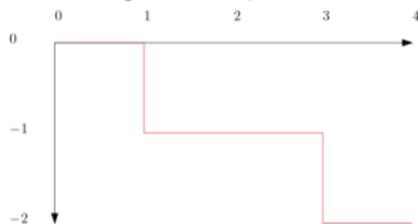


Figure: Perverity function.

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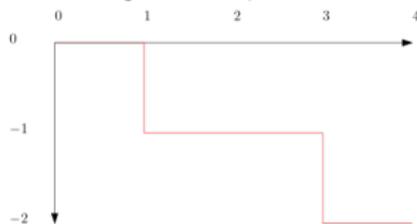


Figure: Perversity function.

3. Assign to it a *heart (of a bounded t-structure)*  $\mathcal{A}^p$  consisting of complexes  $E^\bullet$  with

$$H^i(E^\bullet) \in \text{Coh}_{p^{-1}(i)}(X)^{10}.$$

E.g. if  $p : \{0, 1\} \rightarrow \{0\}$  and  $\{2, 3, 4\} \rightarrow \{-1\}$ , then we recover the *tilted category* associated to the torsion pair

$$\langle \text{Coh}_{\leq 1}(X), \text{Coh}_{\geq 2}(X) \rangle.$$

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# Standard polynomial Bridgeland stability

1. The standard stability condition is given on  $\mathcal{A}^P$  by the *central charge*

$$Z_t(E) = \sum_{i=0}^4 (-1)^{p(i)} \rho_i t^i \int_X \text{ch}(E) H^i \cdot U$$

where  $H$  is an ample divisor,  $U$  a unipotent transformation,  $(-1)^{p(i)} \rho_i \in \mathbb{H}$  and the phases of  $\rho_i$  move in the *clockwise direction* as dimension of support increases,

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2. Instead of comparing phases  $\phi_t(E) = \arg_{(0, \pi]} Z_t(E)$  for  $t \rightarrow \infty$ , which would recover the usual Bridgeland stability, one compares their germs at  $t = \infty$ .

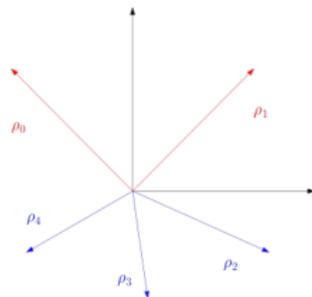


Figure: Standard polynomial stability

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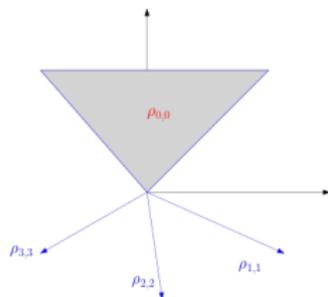
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4. To make them well-behaved, we require the phases of  $\rho_{k,k}$  to move **clockwise** as we increase  $\dim \text{supp}$ :



## An important example/motivation

1. Take

$$s([0, 1]) = 0 \quad s(i) = i - 1 \quad \text{for } i \geq 2,$$
$$\tilde{\rho}(\{0\}) = 0, \quad \tilde{\rho}(\{1, 2, 3\}) = -1,$$

giving

$$\mathcal{A}^P = \langle \text{Coh}_{\geq 2}(X), \text{Coh}_{\leq 1}(X)[-1] \rangle.$$

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<sup>11</sup>Comparing  $DT = PT^{(-1)}$  and  $PT^{(0)}$  is standard from the point of view of stability conditions.

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2. Adapting the work of Pandharipande–Thomas(07') to Calabi–Yau fourfolds, Bae–Kool–Park(22') defined  $PT^{(i)}$  stable pairs

$$\mathcal{O}_X \xrightarrow{s} F$$

for  $i = -1, 0, 1$ , where  $F$  has 2 dimensional support and

$$\dim(\text{coker}(s)) \leq i, \quad \text{Hom}(Q, F) = 0 \quad \text{for } \dim(Q) \leq i.$$

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3. The next family of stability conditions **interpolates** between  $PT^{(0)}$  and  $PT^{(i)}$ <sup>11</sup>

### Definition

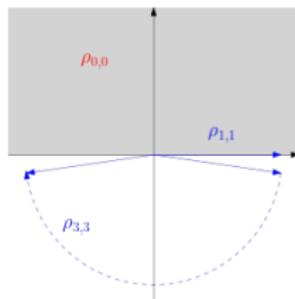
Using  $\rho_{i,j} = \rho_i$ , the  $P^t$ -stability is defined on  $\mathcal{A}^P$  for each  $t \in \mathbb{R}$  by

$$\begin{aligned} \rho_0 : H^0(X) \oplus H^2(X) &\rightarrow \mathbb{H}, & (\beta, n) &\mapsto -n + i(\beta \cdot H), \\ -\rho_1 : H^4(X) &\rightarrow \mathbb{H}, & \gamma &\mapsto -\gamma \cdot H^2, \\ -\rho_3 : H^8(X) &\rightarrow \mathbb{H}, & r &\mapsto r(-t + i). \end{aligned}$$

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## Example in picture



**Figure:** The grey region represents  $\leq 1$ -dimensional sheaves which are spread out across the upper half-plane. The wall-crossing happens whenever  $-\rho_3$  crosses a ray of the phase  $\arctan n/\beta \cdot \omega + \pi/2$  for some  $(\beta, n) \in N_{\leq 1}(X)$ .

## An example of an application

1. If additional assumptions are checked, the last example of stability conditions gives us the **wall-crossing formula**

$$[\mathrm{PT}_{(\gamma, \delta)}^{(0)}]_{\mathrm{vir}} = \sum_{\substack{\delta \vdash \delta \\ l(\delta) = k}} \frac{(-1)^k}{k!} \left[ \cdots \left[ \mathrm{PT}_{(\gamma, \delta_0)}^{(1)} \right]_{\mathrm{vir}}, [M_{\delta_1}]^{\mathrm{in}}, \cdots, [M_{\delta_k}]^{\mathrm{in}} \right],$$

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2. Next fix a line bundle  $L$  on  $X$  and construct a family of vertex algebras for the class  $\Omega_u$  where

$$\Omega_u|_{\{E\}, \{F\}} = e^u \mathrm{Ext}^\bullet(E, F \otimes L) + \text{correction terms}.$$

Its restriction  $\iota^* \circ \Delta_{\mathcal{M}_X}^*(\Omega_u)$  to  $\mathrm{PT}_{(\gamma, \delta)}^{(i)}$  is given by

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3. This leads to

$$\langle \mathrm{PT}_{(\gamma,\delta)}^{(0)} \rangle_u = \sum_{\substack{\delta \vdash \delta \\ \text{length}(\delta)=k}} \frac{(-1)^k}{k!} \left[ \cdots \left[ \langle \mathrm{PT}_{(\gamma,\delta_0)}^{(1)} \rangle_u, [M_{\delta_1}]^{\mathrm{in}} \right]_u, \cdots, [M_{\delta_k}]^{\mathrm{in}} \right]_u.$$

# Taking coefficients

1. From the definition of invariants, conclude

$$\begin{aligned}\langle \text{PT}_{\gamma, \delta}^{(i)} \rangle_{\mathbf{u}} &= [\text{PT}_{\gamma, \delta}^{(i)}]^{\text{vir}} \cap c_{rk}(e^{\mathbf{u}} L[\gamma, \delta]) \\ &= [\text{PT}_{\gamma, \delta}^{(i)}]^{\text{vir}} \cap \mathbf{u}^{\frac{\gamma}{2} c_1(L)^2 + \delta c_1(L) + n} c_{\mathbf{u}-1}(L[\gamma, \delta])\end{aligned}$$

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2. Combining with  $\deg_{\mathbb{C}}([\text{PT}_{\gamma, \delta}^{(i)}]^{\text{vir}}) = n - \frac{\gamma^2}{2}$  leaves us with

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3. Notice that the expression depends only on  $\gamma$  if the **orthogonality assumption**  $\delta c_1(L) = 0$  holds. This motivates the following

## Assumption

In the  $\text{PT}^{(0)}/\text{PT}^{(1)}$  wall-crossing formula, assume that

$$(\delta - \delta_0) \cdot c_1(L)$$

**always** holds.

## Conjecture of Bae–Kool–Park

1. Under this assumption, taking  $[u^{\frac{\gamma}{2}(c_1(L)^2 + \gamma)}] \{ - \}$  in the wall-crossing formula for  $\text{PT}^{(0)}/\text{PT}^{(1)}$  leads to

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2. Let us now take an elliptic fibration  $\pi : X \rightarrow B$  with a base  $B^{13}$  and set  $L = \mathcal{O}_X$  which always satisfies the orthogonality assumption.

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- For the class  $(\gamma, \delta) = \pi^*(\beta, n)$  for  $(\beta, n) \in H^{\geq 4}(B)$  Bae–Kool–Park define

$$\langle\langle \text{PT}_{\gamma, \delta}^{(0)} \rangle\rangle^{\mathcal{O}_X} = \sum_{d \geq 0} \langle \text{PT}_{\gamma, \delta + dE}^{(0)} \rangle^{\mathcal{O}_X} q^d$$

$$\langle\langle \text{PT}_{\gamma, \delta}^{(1)} \rangle\rangle^{\mathcal{O}_X} = \sum_{d \geq 0} \langle \text{PT}_{\gamma, \delta + dE}^{(1)} \rangle^{\mathcal{O}_X} q^d$$

$$\langle\langle \text{PT} \rangle\rangle^{\mathcal{O}_X} = \sum_{d \geq 0} \langle \text{PT}_{dE} \rangle^{\mathcal{O}_X} q^d$$

Here  $E$  is the Poincaré dual of a fiber class and PT stands for the usual PT stable pairs which are just  $\text{PT}^{(0)}$  stable pairs with  $\gamma = 0$ .

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## Proving BKP conjecture and more

1. Up to a simple **structural assumption** on  $[M_{dE,n}]^{\text{in}}$ <sup>14</sup> that I expect to be able to prove once I return to this point, I can show that

### Conjecture (Bae–Kool–Park)

*The  $\text{PT}^{(0)}/\text{PT}^{(1)}$  correspondence*

$$\langle\langle \text{PT}_{\gamma,\delta}^{(0)} \rangle\rangle^{\mathcal{O}_X} = \langle\langle \text{PT}_{\gamma,\delta}^{(1)} \rangle\rangle^{\mathcal{O}_X} \langle\langle \text{PT} \rangle\rangle^{\mathcal{O}_X}$$

*holds.*

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<sup>14</sup>This is a one-dimensional class.

## Proving BKP conjecture and more

1. Up to a simple **structural assumption** on  $[M_{dE,n}]^{\text{in}}$ <sup>14</sup> that I expect to be able to prove once I return to this point, I can show that

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holds.

2. This is because in the wall-crossing formula twisted by  $\mathcal{O}_X$  **only** the classes  $[M_{dE,n}]^{\text{in}}$  contribute. Any bracket with  $[M_{dE,n}]^{\text{in}}$  for  $n \neq 0$  up to a small additional term is almost **trivially zero**.

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3. This additional term has to do with the information contained in  $i_*(\sigma)$  for  $\sigma \in H^2(B)$  in the expression for  $[M_{dE,n}]^{\text{in}}$  and there is some evidence that it should vanish.

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4. Note that if  $\gamma = 0$ , then this additional assumption is not required in any geometry, so this expressed **PT invariants** in terms of just integrals of the form

$$\int_{[M_{\beta,0}]} c_1(\mathcal{O}_X^{[\beta,0]}).$$

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5. **Further applications:** Proves my computations of  $[\text{Hilb}^n(X)]^{\text{vir}}$ ,  $[\text{Quot}_X(E, n)]^{\text{vir}}$  and expresses them in the framework of families of vertex algebras, proves **DT/PT** and **DT/PT<sup>(0)</sup>** correspondences using families of vertex algebras, many more...

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