

Counting surfaces on Calabi-Yau 4-folds

joint with

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Moduli space
●○○Virtual structure
○○○○○Reducing
○○○○○DT-PT₀
○○Magnificent Four
○○○○Origami?
○○○○Virtual Lefschetz
○○○○PT₀-PT₁
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Curves on smooth projective 3-fold X / \mathbb{C}

- ▶ stable maps $f : C \rightarrow X$
- ▶ 1-dimensional closed subschemes $Z \subset X$
- ▶ **PT pairs** (F, s) :
1-dimensional sheaf F , no subsheaves of dimension ≤ 0
 $s \in H^0(X, F)$, $\text{coker}(s)$ of dimension ≤ 0

\rightsquigarrow moduli spaces $\overline{M}_{g,n}(X, \beta)$ KONTSEVICH
 $I_{\beta, n}(X)$ GROTHENDIECK, $P_{\beta, n}(X)$ LE POTIER, PANDHARIPANDE-THOMAS

$$\text{ch}(\mathcal{O}_Z) = \text{ch}(F) = (0, 0, \beta, n - \frac{1}{2}\beta c_1(X)) \in H^*(X, \mathbb{Q})$$

Theorem (Stoppa-Thomas)

\exists projective scheme \mathcal{N} , reductive group $G \curvearrowright \mathcal{N}$, G -linearizations $\mathcal{L}_{-1}, \mathcal{L}_0$ such that there are no strictly semistables and

$$\mathcal{N} //_{\mathcal{L}_{-1}} G = I_{\beta, n}(X), \quad \mathcal{N} //_{\mathcal{L}_0} G = P_{\beta, n}(X)$$

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Surfaces on smooth projective 4-fold X

- ▶ 2-dimensional closed subschemes $Z \subset X$
- ▶ for $i = 0, 1$: **PT_i pairs** (F, s) :
 2-dimensional sheaf F , no subsheaves of dimension $\leq i$
 $s \in H^0(X, F)$, $\text{coker}(s)$ of dimension $\leq i$

$$\rightsquigarrow I_{\gamma, \beta, n}(X), P_{\gamma, \beta, n}^{(i)}(X), \quad i = 0, 1$$

$$\text{ch}(\mathcal{O}_Z) = \text{ch}(F) = (0, 0, \gamma, \beta, n - \frac{1}{2}\beta c_1(X) - \gamma \text{td}_2(X)) \in H^*(X, \mathbb{Q})$$

Theorem (Bae-K-Park)

\exists projective scheme \mathcal{N} , reductive algebraic group $G \curvearrowright \mathcal{N}$,
 G -linearizations $\mathcal{L}_{-1}, \mathcal{L}_0, \mathcal{L}_1$ such that there are no strictly semistables and

$$\mathcal{N} //_{\mathcal{L}_{-1}} G = I_{\gamma, \beta, n}(X), \quad \mathcal{N} //_{\mathcal{L}_i} G = P_{\gamma, \beta, n}^{(i)}(X), \quad i = 0, 1$$

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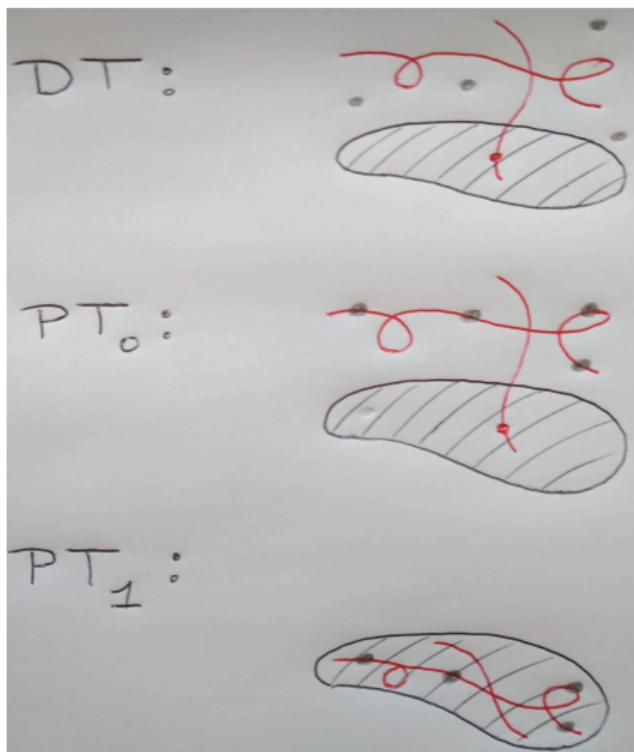
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$\text{PT}_0\text{-PT}_1$
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For X Calabi-Yau 3-fold, let $M = I_{\beta,n}(X), P_{\beta,n}(X)$

element $[(F, s)] \in M$ gives complex

$$I^\bullet = [\mathcal{O}_X \xrightarrow{s} F] \in D^b(X)$$

where $\text{Hom}(I^\bullet, I^\bullet) = \mathbb{C}$, $\text{Ext}^{<0}(I^\bullet, I^\bullet) = 0$, $\det(I^\bullet) \cong \mathcal{O}_X$

$\rightsquigarrow \exists$ 2-term perfect obstruction theory $\underbrace{\Omega_M^{\text{vir}}}_{(R\text{Hom}_X(I^\bullet, I^\bullet)_0[1])^\vee} \rightarrow \mathbb{L}_M$ THOMAS, PT

symmetric $\Omega_M^{\text{vir}} \cong (\Omega_M^{\text{vir}})^\vee[1]$

virtual dimension vd := $\text{rk}(\Omega_M^{\text{vir}}) = 0$

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Local structure M BRAV-BUSSI-JOYCE, using PANTEV-TOËN-VAQUIÉ-VEZZOSI

let $\Phi : A \rightarrow \mathbb{C}$, A smooth variety, $s := d\Phi \in H^0(A, \Omega_A)$

let $M = Z(s) \subset A$

$$\begin{array}{ccc} T_A|_M & \xrightarrow{d \circ s^*} & \Omega_A|_M \\ s^* \downarrow & & \parallel \\ I_{M/A}/I_{M/A}^2 & \xrightarrow{d} & \Omega_A|_M \end{array} \quad \Omega_M^{\text{vir}} \quad \downarrow \quad \mathbb{L}_M$$

[true globally for $I_{0,n}(\mathbb{C}^3) = \text{Hilb}^n(\mathbb{C}^3)$ BEHREND-BRYAN-SZENDRÖI (...)]

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For X Calabi-Yau 4-fold, let $M = I_{\gamma, \beta, n}(X), P_{\gamma, \beta, n}^{(i)}(X)$

element $[(F, s)] \in M$ gives complex

$$I^\bullet = [\mathcal{O}_X \xrightarrow{s} F] \in D^b(X)$$

where $\text{Hom}(I^\bullet, I^\bullet) = \mathbb{C}$, $\text{Ext}^{<0}(I^\bullet, I^\bullet) = 0$, $\det(I^\bullet) \cong \mathcal{O}_X$

$\rightsquigarrow \exists$ 3-term obstruction theory $\underbrace{\Omega_M^{\text{vir}}}_{(R\text{Hom}_X(I^\bullet, I^\bullet)_0[1])^\vee} \rightarrow \mathbb{L}_M$ BAE-K-PARK

also: GHOLAMPOUR-JIANG-LO for DT/PT₁ pairs

$\gamma = 0$ (curves): CAO+(...)

symmetric $\Omega_M^{\text{vir}} \cong (\Omega_M^{\text{vir}})^\vee[2]$ ($\Rightarrow \det(\Omega_M^{\text{vir}})^{\otimes 2} \cong \mathcal{O}_M$)

virtual dimension $\text{vd}_{\mathbb{R}} := \text{rk}(\Omega_M^{\text{vir}}) = 2n - \gamma^2$

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○○○○○Local model M BBJ, using PTVV

- ▶ A smooth variety, $V \rightarrow A$ vector bundle
- ▶ $M = Z(s) \subset A$, $s \in H^0(A, V)$
- ▶ non-degenerate symmetric bilinear form $q : V \otimes V \rightarrow \mathcal{O}_A$
- ▶ s isotropic

$$\begin{array}{ccccc}
 T_A|_M & \xrightarrow{(d \circ s^*)^*} & V|_M & \xrightarrow{q} & \Omega_A|_M \\
 & & s^* \downarrow & & \parallel \\
 & & I_{M/A}/I_{M/A}^2 & \xrightarrow{d} & \Omega_A|_M \\
 & & & & \Omega_M^{\text{vir}} \downarrow \\
 & & & & \mathbb{L}_M
 \end{array}$$

$$\text{vd}_{\mathbb{R}} = \text{rk}(\Omega_M^{\text{vir}}) = 2\dim(A) - \text{rk}(V)$$

[true globally for $I_{0,0,n}(\mathbb{C}^4) = \text{Hilb}^n(\mathbb{C}^4)$ K-RENNEMO]

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CY3: BEHREND-FANTECHI, LI-TIAN $\Rightarrow [M]^{\text{vir}} \in H_0(M)$

BEHREND-FANTECHI, KONTSEVICH, NEKRASOV-OKOUNKOV $\Rightarrow \widehat{\mathcal{O}}_M^{\text{vir}} \in K_0(M)$

CY4: BORISOV-JOYCE, OH-THOMAS $\Rightarrow [M]^{\text{vir}} \in H_{\text{vdR}}(M)$

OH-THOMAS $\Rightarrow \widehat{\mathcal{O}}_M^{\text{vir}} \in K_0(M, \mathbb{Z}[\tfrac{1}{2}])$

requires **orientation**: square root $\det(\Omega_M^{\text{vir}})^{\otimes 2} \cong \mathcal{O}_M$

locally: e.g. choice of maximal isotropic $0 \rightarrow \Lambda \rightarrow V \rightarrow \Lambda^* \rightarrow 0$

globally: existence shown by CAO-GROSS-JOYCE

using JOYCE-TANAKA-UPMEIER

$$\int_{[M]^{\text{vir}}} \alpha, \quad \alpha \in H^*(M, \mathbb{Q}), \quad \chi(M, \widehat{\mathcal{O}}_M^{\text{vir}} \otimes E), \quad E \in K^0(M)$$

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Three reasons why the invariant is trivial...

Example. $X \subset \mathbb{P}^5$ sextic (then $h^{3,1}(X) = 426$)

suppose $\exists \mathbb{P}^2 \subset X, \gamma = [\mathbb{P}^2]$

Issue 1+2: $\text{vd}_{\mathbb{R}} = 2\chi(\mathcal{O}_{\mathbb{P}^2}) - \gamma^2 = -19$ odd and negative

Issue 3: take smooth family $\mathcal{X} \rightarrow (B, 0)$ of sextics, B contractible

$\text{Hdg}_\gamma := \{t \in B : \tilde{\gamma}_t \in H^4(\mathcal{X}_t, \mathbb{Q}) \text{ has type } (2, 2)\} \subset B$

has codimension 19

Solution. Combine reducing procedure of KIEM-PARK and K-THOMAS

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$\xi \in H^1(X, T_X) \xrightarrow{\text{CY}^4} H^1(X, \Omega_X^3)$ gives cosection

$$\text{Ext}^2(I^\bullet, I^\bullet) \xrightarrow{\text{sr}} H^3(X, \Omega_X) \xrightarrow{\cup \xi} H^4(X, \Omega_X^4) \cong \mathbb{C}$$

sr **semi-regularity** map BUCHWEITZ-FLENNER

for $\gamma \in H^4(X, \mathbb{Z})$ of type (2, 2):

$$B_\gamma : H^1(X, T_X) \otimes H^1(X, T_X) \longrightarrow \mathbb{C}, \quad \xi_1 \otimes \xi_2 \mapsto \int_X \iota_{\xi_1} \iota_{\xi_2} \gamma \cup \Omega$$

symmetric and of rank ρ_γ (= codim $T_0 \text{Hdg}_\gamma$, if KS₀ iso)

CARLSON-GREEN-GRIFFITHS

Theorem (Bae-K-Park)

For X CY4 and $M = I_{\gamma, \beta, n}(X), P_{\gamma, \beta, n}^{(i)}(X)$, there exists

$$[M]^{\text{red}} \in H_{\text{rvd}_{\mathbb{R}}}(M, \mathbb{Z}[\tfrac{1}{2}]), \quad \text{rvd}_{\mathbb{R}} := 2n - \gamma^2 + \rho_\gamma$$

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$$\begin{array}{ccc}
 H^1(X, T_X) & \xrightarrow{\text{ob} := \iota_- \text{-At}(I^\bullet)} & \text{Ext}^2(I^\bullet, I^\bullet) \\
 & \searrow \iota_- \gamma \quad \swarrow \text{sr} & \\
 & H^3(X, \Omega_X) & \\
 & \downarrow \cong \text{SD} & \\
 & H^1(X, T_X)^* &
 \end{array}$$

$$\text{Pick: } V \hookrightarrow H^1(X, T_X) \twoheadrightarrow \frac{H^1(X, T_X)}{\ker(B_\gamma)}$$

$$\text{Get: } V \hookrightarrow \text{Ext}^2(I^\bullet, I^\bullet) \Rightarrow \text{Ext}^2(I^\bullet, I^\bullet) \twoheadrightarrow V^* \cong \mathbb{C}^{\rho_\gamma}$$

Theorem

For any $I^\bullet \in M$: I^\bullet is semiregular $\Leftrightarrow M$ is smooth at I^\bullet of dimension $\text{rvd}_{\mathbb{R}} = \underbrace{2n - \gamma^2 + \rho_\gamma}_{\text{tadpole conjecture F-theory?}} \geq 0$

tadpole conjecture F-theory?

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Fix:

1. X CY4, $\gamma \in H^4(X, \mathbb{Z})$ of type (2,2)
2. $M = I_{\gamma, \beta, n}(X), P_{\gamma, \beta, n}^{(i)}(X)$
3. smooth family $\mathcal{X} \rightarrow (B, 0)$, B smooth algebraic, $\mathcal{X}_0 = X$
4. horizontal section $\{\tilde{\gamma}_t \in H^4(\mathcal{X}_t, \mathbb{Z})\}_{t \in B}$, $\tilde{\gamma}_0 = \gamma$

Family of moduli $\mathcal{M} \rightarrow (B, 0)$, $\mathcal{M}_0 = M$, $\rightsquigarrow \int_{[\mathcal{M}_t]^{\text{red}}} \alpha, \quad \alpha \text{ descendent insertion}$

Theorem

 $\int_{[\mathcal{M}_t]^{\text{red}}} \alpha$ is invariant over Hdg_γ $\int_{[\mathcal{M}_0]^{\text{red}}} \alpha \neq 0 \Rightarrow \forall t \in \text{Hdg}_\gamma \exists [I^\bullet] \in \mathcal{M}_t: \tilde{\gamma}_t = \text{ch}_2(I^\bullet) \in H^{2,2}(\mathcal{X}_t)$ $\int_{[\mathcal{M}_0]^{\text{red}}} \alpha \neq 0 \Rightarrow$ variational Hodge conjecture for $\mathcal{X} \rightarrow (B, 0)$, γ

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Corollary

$S \subset X$ semiregular, lci, rigid $\Rightarrow \text{rvd}_{\mathbb{R}} = 0$, i.e. $\rho_{[S]} = h^1(N_{S/X})$
and $\int_{[\mathcal{M}_0]^{\text{red}}} 1 \neq 0$

Example.

- ▶ $X \subset \mathbb{P}^5$ sextic: any ci surface $S \subset \mathbb{P}^5$ st. $S \subset X$ is semiregular
STEENBRINK, rigid for types

$$(1, 1, 1), (1, 1, 2), (1, 1, 3), (1, 2, 2)$$

$$(1, 2, 3), (2, 2, 2), (2, 2, 3), (1, 1, 4)$$

- ▶ $S \cong \mathbb{P}^2$ on any CICY4
- ▶ “low degree” S on any CICY4

Example. For $X \subset \mathbb{P}^5$ sextic

1. if $\mathbb{P}^2 \subset X$ and $\gamma = 2[\mathbb{P}^2]$, then $\text{rvd}_{\mathbb{R}} < 0$
 2. if $\mathbb{P}^2 \cup_{\text{pt}} \mathbb{P}^2 \subset X$ and $\gamma = [\mathbb{P}^2 \cup_{\text{pt}} \mathbb{P}^2]$, then $\text{rvd}_{\mathbb{R}} < 0$
- ... more cosections?

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Take X CY4 and $M = I_{\gamma,\beta,n}(X), P_{\gamma,\beta,n}^{(i)}(X)$

Universal pair $\mathbb{I}^\bullet = \{\mathcal{O} \rightarrow \mathbb{F}\}$

Tautological complex $L^{[n]} := R\pi_{M*}\mathbb{F} \boxtimes L, \quad L \in \text{Pic}(X)$

Nekrasov genus $\langle\langle L \rangle\rangle_{X,\gamma,\beta,n} := \chi(M, \widehat{\mathcal{O}}^{\text{vir}} \otimes \widehat{\Lambda}^\bullet(L^{[n]} \otimes y^{-1}))$

where $\widehat{\Lambda}^\bullet E := \sum_i (-1)^i \frac{\Lambda^i E}{\sqrt{\det(E)}}, \quad y$ formal parameter

Conjecture (A)

There exist orientations such that

$$\frac{\sum_n \langle\langle L \rangle\rangle_{X,\gamma,\beta,n}^{\text{DT}} q^n}{\sum_n \langle\langle L \rangle\rangle_{X,0,0,n}^{\text{DT}} q^n} = \sum_n \langle\langle L \rangle\rangle_{X,\gamma,\beta,n}^{\text{PT}_0} q^n$$

$\gamma = 0$ (curves): conjectured by CAO-K-MONAVARI

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Take X toric CY4, $T = \{t_1 t_2 t_3 t_4 = 1\} \subset (\mathbb{C}^*)^4 \curvearrowright X$

Fix $Z \subset X = \mathbb{C}^4$ 2-dimensional, T -invariant, no embedded points

$$\mathcal{O}_Z|_{(\mathbb{C}^*)^2 \times \mathbb{C}^2} \cong \mathcal{O}_A[x_1^{\pm 1}, x_2^{\pm 1}], \quad A \subset \mathbb{C}^2 \text{ 0-dimensional}$$

$$\mathcal{O}_Z|_{\mathbb{C}^* \times \mathbb{C}^3} \cong \mathcal{O}_B[x_1^{\pm 1}], \quad B \subset \mathbb{C}^3 \text{ 1-dimensional}$$

$\Rightarrow \lambda = \{\lambda_{ij}\}_{1 \leq i < j \leq 4}$ finite 2D partitions

$\Rightarrow \mu = \{\mu_i\}_{i=1}^4$ compatible 3D partitions

\rightsquigarrow topological vertex $V_{\lambda, \mu}^{\text{DT}}(q, t, y), V_{\lambda, \mu}^{\text{PT}_0}(q, t, y)$

Conjecture (B)

Fix λ, μ such that no moduli on PT₀ side. Then \exists signs such that

$$\frac{V_{\lambda, \mu}^{\text{DT}}(q, t, y)}{V_{\emptyset \emptyset \emptyset \emptyset \emptyset \emptyset \emptyset \emptyset \emptyset \emptyset}^{\text{DT}}(q, t, y)} = V_{\lambda, \mu}^{\text{PT}_0}(q, t, y)$$

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Roughly: by **Oh-Thomas localization**: (B) \Rightarrow (A) for X toric CY4

Magnificent Four NEKRASOV

SUSY Yang-Mills on $\mathbb{C}^4 \rightsquigarrow$ measure on 4D partitions π

$$V_{\emptyset\emptyset\emptyset\emptyset\emptyset\emptyset\emptyset\emptyset\emptyset\emptyset\emptyset}^{\text{DT}}(q, t, y) = \text{Exp}\left(\frac{[t_1 t_2][t_1 t_3][t_2 t_3][y]}{[t_1][t_2][t_3][t_4][y^{\frac{1}{2}} q][y^{\frac{1}{2}} q^{-1}]}\right)$$

$[x] := x^{\frac{1}{2}} - x^{-\frac{1}{2}}$, equality proved by K-RENNEMO

on $\text{Hilb}^n(\mathbb{C}^4)$ local structure holds globally and explicitly!

derivation NEKRASOV-PIAZZALUNGA sign $(-1)^{|\pi| + |\{(i, i, i, j) \in \pi : i < j\}|}$

Compact case:

Bojko using Joyce's (conjectural?) vertex algebra wall-crossing

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Special case λ general $\mu = \mu_{\min}$ minimal, i.e. PT₀ = PT₁:

$$V_{\lambda, \mu_{\min}}^{\text{PT}_0}(q, t, y)$$

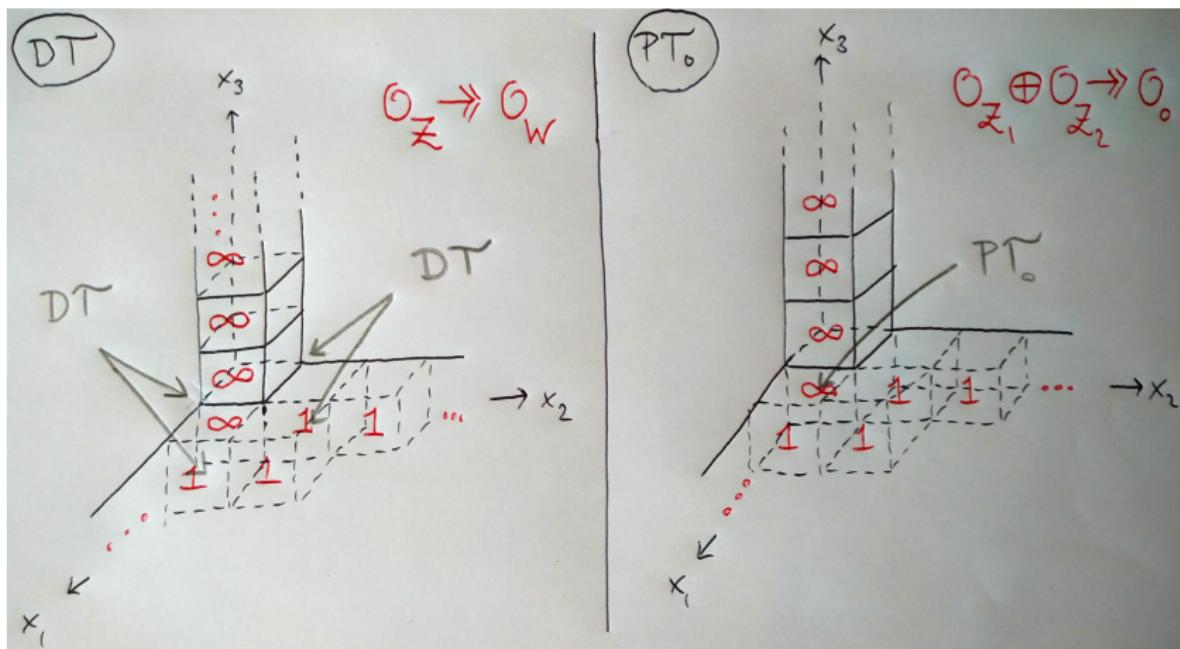
polynomial of $\deg \ell(\mathcal{E}xt^3(\mathcal{O}_Z, \mathcal{O}_X)) < \infty$, Z pure 2-dim $\leftrightarrow \lambda$

$\ell(\mathcal{E}xt^3(\mathcal{O}_Z, \mathcal{O}_X)) = 0 \Leftrightarrow Z$ Cohen-Macaulay

For $Z = \mathbb{C}^2 \cup_{\text{pt}} \mathbb{C}^2$, (normalized) topological vertex is

$$V_{(1)\emptyset\emptyset\emptyset\emptyset(1)\mu_{\min}}^{\text{PT}_0} = 1 + \frac{[t_1 t_2][y]}{[t_1 t_3][t_2 t_3]} q$$

Question. Related to NEKRASOV's **gauge origami?**



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Let X be compact CY4 and $Y \subset X$ smooth connected divisor

Let $M_X := I_{\gamma, \beta, n}(X), P_{\gamma, \beta, n}^{(i)}(X)$, $M_Y := I_{\gamma, \beta, n}(Y), P_{\gamma, \beta, n}^{(i)}(Y)$

"Twist away divisor": M_Y relates to DT/PT moduli spaces on Y

Theorem

Assume:

- ▶ $\mathcal{O}_X(Y)^{[n]}$ is a vector bundle concentrated in deg 0
- ▶ $(R\mathcal{H}om_{\pi_Y}(\mathbb{I}_Y^\bullet, \mathbb{I}_Y^\bullet)_0)^\vee \rightarrow \mathbb{L}_{M_Y}$ 2-term obstruction theory

Then, for any orientation on M_X , there exists a sign \pm for each connected component $M_Y^e \subset M_Y$ such that

$$e(\mathcal{O}_X(Y)^{[n]}) \cap [M_X]^{\text{vir}} = \sum_e \pm \iota_* [M_Y^e]^{\text{vir}}$$

By PARK's virtual pull-back formula, \rightsquigarrow special cases Conj. (A)

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Let $X \xrightarrow{p} B$ be Weierstraß CY4, discriminant $\Delta \subset B$, f fibre class

Conjecture (C)

For $\beta \in H_2(B)$ effective, $\gamma := p^*\beta$, $n := \frac{\beta \cdot \Delta}{12}$

$$\frac{\sum_d Q^d \int_{[P_{\gamma, df, n}^{(0)}(X)]^{\text{vir}}} \prod_i \tau_{k_i}(\sigma_i)}{\sum_d Q^d \int_{[P_{df, 0}(X)]^{\text{vir}}} 1} = \sum_d Q^d \int_{[P_{\gamma, df, n}^{(1)}(X)]^{\text{vir}}} \prod_i \tau_{k_i}(\sigma_i)$$

for all $\sigma_i \in H^*(X)$ such that $p_*\sigma_i \in H^{\geq 2}(B)$

proved when all $C \subset B$ with $[C] = \beta$ irreducible Gorenstein and:

- ▶ **$K_B < 0$ and X toric:** via stationary correspondence on B

OBLOMKOV-OKOUNKOV-PANDHARIPANDE

- ▶ **$K_B = 0$:** via DT-PT correspondence on B

PANDHARIPANDE-THOMAS, BRIDGELAND, TODA

Moduli space
○○○

Virtual structure
○○○○○

Reducing
○○○○○

DT-PT₀
○○

Magnificent Four
○○○○

Origami?
○○○○

Virtual Lefschetz
○○○○○

PT₀-PT₁
○●○●

Let X be compact CY4, $v = (0, 0, \gamma, \beta, n - \frac{1}{12}\gamma c_2(X)) \in H^*(X, \mathbb{Q})$

Theorem

Assume:

- ▶ pure surfaces $S \subset X$ with $[S] = \gamma$ are CM with constant $\text{ch}(\mathcal{O}_S) =: v(\gamma)$.
- ▶ $\beta - v(\gamma)_3$ is irreducible effective
- ▶ \forall pure 1-dim sheaf G with $\text{ch}(G) = v - v(\gamma)$ we have

$$\text{Ext}_X^2(I_{S/X}, G) = 0 \quad \forall [S] \in I_{v(\gamma)}(X)$$

Consider $p : P_v^{(0)}(X) \rightarrow M_{v-v(\gamma)}(X) \times I_{v(\gamma)}(X)$

$$(F, s) \mapsto (\text{torsion subsheaf } F, \text{ support } F)$$

Then $[P_v^{(0)}(X)]^{\text{vir}} = p^! \left([M_{v-v(\gamma)}(X)]^{\text{vir}} \times [I_{v(\gamma)}(X)]^{\text{vir}} \right)$

~~~ special case PT<sub>0</sub>-PT<sub>1</sub> correspondence