

Quantitative Nilpotent Structure and Regularity Theorems of Collapsed Einstein Manifolds

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Structure of Collapsed Special Holonomy Spaces

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Ricci Limit Spaces

Definition (Ricci-Limit Space)

We call a metric measure space (X, d_X, ν_X, x) a **Ricci-limit space** if there exists a sequence of manifolds (M_j^n, g_j, ν_j, p_j) with $\text{Ric}_{g_j} \geq -(n-1)\lambda$ and

$$\nu_j = \frac{\text{dvol}_{g_j}}{\text{Vol}(B_1(p_j))} \quad (1)$$

such that

$$\left(M_j^n, g_j, \nu_j, p_j \right) \xrightarrow{GH} \left(X, d_X, \nu_X, x \right), \quad (2)$$

where $\nu_X \equiv \lim_j \nu_j$ is a Radon measure and called the limiting renormalized measure.

Ricci Limit Spaces

- We say $x \in X$ is k -regular if each tangent cone at x is isometric to \mathbb{R}^k .

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$$\mathcal{R}_k \equiv \{x \in X \mid x \text{ is } k\text{-regular}\} \quad (3)$$

$$\text{and } \mathcal{R} \equiv \bigcup_k \mathcal{R}_k.$$

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- $\mathcal{S} \equiv X \setminus \mathcal{R}$.

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and $\mathcal{R} \equiv \bigcup_k \mathcal{R}_k$.

- $\mathcal{S} \equiv X \setminus \mathcal{R}$.

Theorem (Cheeger-Colding, 1997)

Let (X, d_X, ν_X, p) be a Ricci-limit space, then $\nu_X(\mathcal{S}) = 0$.

Ricci Limit Spaces

Theorem (Colding-Naber, 2011)

Let (X, d, ν_X, x) be a Ricci-limit space. Then there is a unique integer $k \geq 0$ such that $\nu_X(X \setminus \mathcal{R}_k) = 0$. The above unique integer k is called the *limiting dimension* of X .

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- In fact, if $\dim(X) = k$, then

$$\mathcal{R} = \bigcup_{j \leq k} \mathcal{R}_j. \quad (4)$$

Ricci Limit Spaces

Theorem (Cheeger-Colding, 2000)

Let (X, d_X, ν_X, p) be a Ricci-limit space for some non-collapsing sequence, then $\text{Isom}(X)$ is a Lie group.

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Theorem (Colding-Naber, 2011)

Let (X, d_X, ν_X, p) be any Ricci-limit space, then $\text{Isom}(X)$ is a Lie group.

Nilpotent Groups

Definition (Nilpotent Group and Nilpotent Rank)

A group Γ is called nilpotent if there exists a finite descending lower central series

$$\Gamma \equiv \Gamma_0 \triangleright \Gamma_1 \triangleright \dots \triangleright \Gamma_k \equiv \{e\}, \quad (5)$$

where $\Gamma_j \equiv [\Gamma, \Gamma_{j-1}]$ for all $1 \leq j \leq k$ and k is called the step of Γ .

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- For each $1 \leq j \leq k$, $A_j \equiv \Gamma_{j-1}/\Gamma_j$ is abelian.
- If Γ is finitely generated, then A_j is finitely generated for each $1 \leq j \leq k$ and

$$\text{rank}(\Gamma) \equiv \sum_{j=1}^k \text{rank}(A_j). \quad (6)$$

Nilpotent Group

Definition

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Definition (Rank of Almost Nilpotent Group)

Let $N_0 \leq \Gamma$ and $N_1 \leq \Gamma$ be nilpotent such that

$$[\Gamma : N_0] < \infty \text{ and } [\Gamma : N_1] < \infty, \quad (8)$$

then $\text{rank}(N_0) = \text{rank}(N_1)$.

The common number is defined as the nilpotent rank of Γ .

The Margulis Lemma

Definition (Fibered Fundamental Group)

Let (M^n, g, p) be a Riemannian manifold, then we define

$$\Gamma_\delta(p) \equiv \text{Image}[\pi_1(B_\delta(p)) \rightarrow \pi_1(B_2(p))]. \quad (9)$$

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- $\Gamma_\delta(p)$ can be viewed as the subgroup of $\pi_1(B_2(p))$ which is generated by the small loops of length $\leq 2\delta$.
- If we identify $\pi_1(B_2(p))$ with the deck transformation group of $\widetilde{B_2(p)}$, then $\Gamma_\delta(p)$ is generated by the elements with short displacement.

The Generalized Margulis Lemma

Theorem (Fukaya-Yamaguchi, 1992)

There exists $\delta(n) > 0$ and $w(n) > 0$ such that if (M^n, g, p) satisfy $\text{sec}_g \geq -1$, then

- $\Gamma_\delta(p)$ has a solvable subgroup Λ with $[\Gamma_\delta(p) : \Lambda] \leq w$.
- $\Gamma_\delta(p)$ has a nilpotent subgroup N with $[\Gamma_\delta(p) : N] < \infty$.

Generalized Margulis Lemma

Definition $((C, m)$ -Nilpotency)

A finitely generated group Γ is called (C, m) -nilpotent if there is a nilpotent subgroup $N \leq \Gamma$ with

$$\text{rank}(N) \leq m, [\Gamma : N] \leq C. \quad (10)$$

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Theorem (Kapovitch-Petrinin-Tuschmann, 2010)

There are $\epsilon(n) > 0$, $w(n) < \infty$ such that if (M^n, g, p) is complete with $\text{sec}_g \geq -1$, then for any $p \in M^n$, the group

$$\Gamma_\epsilon(p) \equiv \text{Image}[\pi_1(B_\epsilon(p)) \rightarrow \pi_1(B_1(p))] \quad (11)$$

is $(w(n), n)$ -nilpotent.

The Generalized Margulis Lemma

Theorem (Kapovitch-Wilking, 2011)

There are $\epsilon(n) > 0$, $w(n) < \infty$ such that if (M^n, g, p) is complete with $\text{Ric} \geq -(n-1)$, then for any $p \in M^n$, the group

$$\Gamma_\epsilon(p) \equiv \text{Image}[\pi_1(B_\epsilon(p)) \rightarrow \pi_1(B_1(p))] \quad (12)$$

is $(w(n), n)$ -nilpotent.

The Generalized Margulis Lemma

Theorem (Naber-Zhang, 2015)

Let (Z^k, z^k) be a Ricci-limit with $\dim Z^k = k$, then there exists $\epsilon_0 > 0$, $w_0 > 0$, $K_0 > 0$ such that for each $0 < \delta \leq \epsilon_0$, if (M^n, g) has $\text{Ric} \geq -(n-1)$ and

$$d_{GH}(B_2(p), B_2(z^k)) < \delta, \quad (13)$$

then $\Gamma_\delta(p)$ has a nilpotent subgroup \mathcal{N} such that:

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- 1 $[\Gamma_\delta(p) : \mathcal{N}] \leq w_0$, $\text{rank}(\mathcal{N}) \leq n - k = \text{collapsed dimension}$.
- 2 \mathcal{N} has an effective polycyclic refinement,

$$\mathcal{N} \equiv \mathcal{N}_m \begin{matrix} \langle \sigma_m \rangle \\ \triangleright \end{matrix} \mathcal{N}_{m-1} \begin{matrix} \langle \sigma_{m-1} \rangle \\ \triangleright \end{matrix} \dots \begin{matrix} \langle \sigma_1 \rangle \\ \triangleright \end{matrix} \mathcal{N}_0 = \{e\} \quad (14)$$

such that $d(\sigma_\alpha \cdot \hat{p}, \hat{p}) < K_0 \cdot \delta$ for each $1 \leq \alpha \leq m$.

Statements of the Main Theorems

Theorem (Naber-Zhang, 2015)

For $n \geq 4$ and each Ricci-limit space (Z^ℓ, z^ℓ) with $\ell \leq 3$, there are constants $\delta > 0$, $C_0 > 0$ such that if (M^n, g, p) is Einstein with $|\text{Ric}_g| \leq n - 1$ and

$$d_{GH}(B_2(p), B_2(0^{k-\ell}, z^\ell)) < \delta, \quad B_2(0^{k-\ell}, z^\ell) \subset \mathbb{R}^{k-\ell} \times Z^\ell, \quad (15)$$

then $\Gamma_\delta(p)$ is almost nilpotent with $\text{rank}(\Gamma_\delta(p)) \leq n - k$.

Furthermore,

$$\text{rank}(\Gamma_\delta(p)) = n - k \implies \sup_{B_1(p)} |\text{Rm}| \leq C_0. \quad (16)$$

Statements of the Main Theorems

Theorem (Naber-Zhang, 2015)

In particular, if $\ell = 0$, i.e. $\mathbb{R}^{k-\ell} \times Z^\ell \equiv \mathbb{R}^k$,

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- If we drop the Einstein condition, the curvature estimate can be replaced with bounded $C^{1,\alpha}$ -covering geometry for any $0 < \alpha < 1$.

Statements of the Main Theorems

Example

Let (M^4, g_{EH}) be the Ricci-flat Eguchi-Hanson manifold. Take $\lambda_j \rightarrow 0$ such that

$$\left(\mathbb{T}^{n-4} \times M^4, \lambda_j^2 \cdot (g_0 \oplus g_{EH}), p \right) \xrightarrow{GH} \left(\mathbb{R}^4 / \mathbb{Z}^2, d_0, 0^* \right). \quad (18)$$

Then $\text{Ric}_{g_j} \equiv 0$ and $\text{rank}(\Gamma_\delta(p)) = n - 4$ which is maximal, but curvatures blow up around the cone tip.

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- This example tells us that the assumption “ $\ell \leq 3$ ” in the theorem is necessary.

Statements of the Main Theorems

Example

Let $M^3 \equiv \mathbb{R}^2 \times S^1$. Let \mathbb{Z}_k be a finite cyclic group of rotations which acts isometrically and freely on M^3 .

- As $k \rightarrow \infty$,

$$(M^3/\mathbb{Z}_k, g_k, p_k) \xrightarrow{GH} (\mathbb{R}_+^1, dt^2, 0^1). \quad (19)$$

- $\sec_{g_k} \equiv 0$.
- $\text{rank}(\Gamma_\delta(p_k)) = 1$.

Statements of the Main Theorems

Theorem (Naber-Zhang, 2015)

Let $(M_j^n, g_j, p_j) \xrightarrow{GH} (X_\infty^k, d_\infty, x_\infty)$ satisfy $|\text{Ric}_{g_j}| \leq n - 1$ and for some $\delta > 0$, $\text{rank}(\Gamma_\delta(p_j)) = n - k$, then the following holds:

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- 1 There is some open subset $\mathcal{O} \subset B_1(x_\infty)$ with $\nu(B_1(x_\infty) \setminus \mathcal{O}) = 0$ which is a $C^{1,\alpha}$ -Riemannian manifold for any $0 < \alpha < 1$.

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- 2 If $n = 4$, then $B_1(x_\infty)$ is a $C^{1,\alpha}$ -Riemannian orbifold.

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 - 2 If $n = 4$, then $B_1(x_\infty)$ is a $C^{1,\alpha}$ -Riemannian orbifold.
- If (M_j^n, g_j) are Einstein, then $C^{1,\alpha}$ can be improved to C^∞ .

Statements of the Main Theorems

Theorem (Naber-Zhang, 2015)

Given $\epsilon > 0$, $n \geq 4$ and a Ricci-limit space (X^k, x^k) , there exists $\delta > 0$, $C > 0$ such that if (M^n, g, p) is a Riemannian manifold with $|\text{Ric}_g| \leq n - 1$ satisfying

$$\begin{cases} d_{GH}(B_2(p), B_2(x^k)) < \delta, \\ \Gamma_\delta(p) = n - k, \end{cases} \quad (20)$$

then $\exists \mathcal{O} \subset B_1(p)$ such that $\frac{\text{Vol}(\mathcal{O})}{\text{Vol}(B_1(p))} > 1 - \epsilon$ and

$$\sup_{\mathcal{O}} |\text{Rm}| \leq C. \quad (21)$$

A Toy Model: Codimension-1 Collapse

- Let (M_j^n, g_j, p_j) be a sequence of Einstein manifolds satisfying $\text{Ric}_{g_j} \geq -(n-1)\delta_j^2$ and $\delta_j \rightarrow 0$.

A Toy Model: Codimension-1 Collapse

- Let (M_j^n, g_j, p_j) be a sequence of Einstein manifolds satisfying $\text{Ric}_{g_j} \geq -(n-1)\delta_j^2$ and $\delta_j \rightarrow 0$.
- Assume that

$$(M_j^n, g_j, p_j) \xrightarrow{GH} \mathbb{R}^{n-1} \quad (22)$$

and

$$\Gamma_{\delta_j}(p_j) \equiv \mathbb{Z}. \quad (23)$$

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and

$$\Gamma_{\delta_j}(p_j) \equiv \mathbb{Z}. \quad (23)$$

- We will prove

$$\sup_{B_R(p_j)} |\text{Rm}| \leq C_0(n, R), \quad (24)$$

where $C_0 > 0$ does not depend on j .

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 - 1 The universal covers $(\widetilde{M}_j^n, \tilde{g}_j, \tilde{p}_j)$ are non-collapsing.
 - 2 There is an extra \mathbb{R} -splitting in the unwrapped limit:

$$(\widetilde{M}_j^n, \tilde{g}_j, \tilde{p}_j) \xrightarrow{GH} \mathbb{R}^n. \quad (25)$$

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- Once we manage to prove (25), the uniform curvature estimate follows from the ϵ -regularity for non-collapsing manifolds (which is due to Cheeger-Colding).

Quantitative Splitting Theorem

Theorem (Cheeger-Colding, 1996)

Let (M_j^n, g_j, p_j) be a sequence of manifolds with $\text{Ric}_{g_j} \geq -(n-1)\delta_j^2$ such that

$$(M_j^n, g_j, p_j) \xrightarrow{GH} (X_\infty, d_\infty, p_\infty). \quad (26)$$

If X_∞ admits a line, then $X_\infty \equiv \mathbb{R}^k \times Y_\infty$ and Y_∞ does not admit any line.

Quantitative Splitting Theorem

Definition (Cheeger-Colding's ϵ -splitting map)

An ϵ -splitting map $\Phi \equiv (u^{(1)}, \dots, u^{(k)}) : B_r(p) \rightarrow \mathbb{R}^k$ is a harmonic map (i.e. $\Delta u^{(\alpha)} = 0$) such that

$$\sum_{\alpha, \beta=1}^k \int_{B_r(p)} |\langle \nabla u^{(\alpha)}, \nabla u^{(\beta)} \rangle - \delta_{\alpha\beta}| + \int_{B_r(p)} |\nabla^2 u^{(\alpha)}|^2 < \epsilon. \quad (27)$$

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- The above gradient and Hessian estimates amount to the “Toponogov Theorem” in the L^2 sense.
- There is some $\Psi(\epsilon|n, r) > 0$ such that

$$\|d_t(p) - \underline{d}_t(p)\|_{L^2} + \|\angle_t - \underline{\angle}_t\|_{L^2} < \Psi. \quad (28)$$

Quantitative Splitting Theorem

Theorem (Cheeger-Colding, 1996)

$\forall \epsilon > 0, n \geq 2, r > 0, \exists \delta(n, \epsilon, r) > 0$ such that

- ① if $\text{Ric}_g \geq -(n-1)\delta^2$ and there is an ϵ -splitting map $\Phi \equiv (u^1, \dots, u^k) : B_{4r}(p) \rightarrow \mathbb{R}^k$, then

$$d_{GH}(B_r(p), B_r(0^k, x)) < \epsilon r, \quad B_r(0^k, x) \subset \mathbb{R}^k \times X, \quad (29)$$

for some complete length space (X, d) .

- ② if

$$\begin{cases} \text{Ric}_g \geq -(n-1)\delta^2 \\ d_{GH}(B_{\delta^{-1}}(p), B_{\delta^{-1}}(0^k, x)) < \delta, \end{cases} \quad (30)$$

then there is an ϵ -splitting map $\Phi : B_{4r}(p) \rightarrow \mathbb{R}^k$.

A Toy Model: Codimension-1 Collapse

- By Cheeger-Colding's Quantitative Splitting Theorem, there are harmonic maps

$$\Phi_j = (u_j^{(1)}, \dots, u_j^{(n-1)}) : B_{10R}(p_j) \rightarrow \mathbb{R}^{n-1} \quad (31)$$

such that

$$\sum_{\alpha, \beta=1}^{n-1} \int_{B_{5R}(p_j)} |\langle \nabla u_j^{(\alpha)}, \nabla u_j^{(\beta)} \rangle - \delta_{\alpha\beta}| < \Psi(\delta_j | n, R) \quad (32)$$

and

$$\sum_{\alpha=1}^{n-1} \int_{B_{5R}(p_j)} |\nabla^2 u_j^{(\alpha)}|^2 < \Psi(\delta_j | n, R). \quad (33)$$

A Toy Model: Codimension-1 Collapse

- Applying the volume comparison theorem,

$$\sum_{\alpha, \beta=1}^{n-1} \int_{B_{5R}(\tilde{p}_j)} |\langle \nabla \tilde{u}_j^{(\alpha)}, \nabla \tilde{u}_j^{(\beta)} \rangle - \delta_{\alpha\beta}| < \Psi(\delta_j | n, R) \quad (34)$$

and

$$\sum_{\alpha=1}^{n-1} \int_{B_{5R}(\tilde{p}_j)} |\nabla^2 \tilde{u}_j^\alpha|^2 < \Psi(\delta_j | n, R). \quad (35)$$

Therefore,

$$(\widetilde{M}_j^n, \tilde{g}_j, \tilde{p}_j) \xrightarrow{GH} \mathbb{R}^{n-1} \times Y \quad (36)$$

and $Y = \lim_{j \rightarrow \infty} \tilde{\Phi}_j^{-1}(0^{n-1})$.

A Toy Model: Codimension-1 Collapse

- Let $\Gamma_j \equiv \pi_1(M_j^n)$, then

$$\begin{array}{ccc}
 (\widetilde{M}_j^n, \Gamma_j, \tilde{p}_j) & \xrightarrow{eqGH} & (\mathbb{R}^{n-1} \times Y, \Gamma_\infty, \tilde{p}_\infty) \\
 \text{pr}_i \downarrow & & \downarrow \text{pr}_\infty \\
 (M_j^n, g_j, p_j) & \xrightarrow{GH} & \mathbb{R}^{n-1} \times \{0\}.
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 \text{pr}_i \downarrow & & \downarrow \text{pr}_\infty \\
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- $\Gamma_\delta(p_j) \equiv \mathbb{Z} \implies Y = \lim_{j \rightarrow \infty} \tilde{\Phi}_j^{-1}(0^{n-1})$ is non-compact.

A Toy Model: Codimension-1 Collapse

- Let $\Gamma_j \equiv \pi_1(M_j^n)$, then

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- $\Gamma_\infty \leq \text{Isom}(Y)$ acts homogeneously on Y .
- Y is a line $\implies Y \equiv \mathbb{R}$.

The Main Technical Theorem

Theorem (Naber-Zhang, 2015)

Given a Ricci-limit space Z^ℓ , there exists $v_0(n, Z^\ell) > 0$, and for every $\epsilon > 0$ there exists $\delta_0(\epsilon, n, Z^\ell) > 0$ such that if (M^n, g, p) is a manifold with $\text{Ric}_g \geq -(n-1)$ satisfying

- $d_{GH}(B_2(p), B_2(0^{k-\ell}, z^\ell)) < \delta_0$, $B_2(0^{k-\ell}, z^\ell) \subset \mathbb{R}^{k-\ell} \times Z^\ell$,
- $\text{rank}(\Gamma_{\delta_0}(p)) = n - k$,

then on the universal cover $\widetilde{B_2(p)}$ we have

(1) $\text{Vol}(B_1(\tilde{p})) \geq v_0(n, B_1(z^\ell)) > 0$,

(2) $\exists r \in (\delta_0, 1)$ such that

$$d_{GH}(B_r(\tilde{x}), B_r(0^{n-\ell}, \hat{z})) < r\epsilon, \quad B_r(0^{n-\ell}, \hat{z}) \subset \mathbb{R}^{n-\ell} \times C(\hat{Z}), \quad (38)$$

where \hat{z} is the cone tip of $C(\hat{Z})$.

The Proof of the Main Technical Theorem

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- 2 The universal cover of $B_2(p)$ is non-collapsing.
- 3 The quantitative splitting holds in general (the limit is $\mathbb{R}^{k-\ell} \times Z^\ell$).

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 (\widetilde{M}_j^n, \Gamma_j, \tilde{p}_j) & \xrightarrow{eqGH} & (\mathbb{R}^k \times Y_\infty, \Gamma_\infty, 0^n) & (40) \\
 \text{pr}_i \downarrow & & \downarrow \text{pr}_\infty & \\
 (M_j^n, p_j) & \xrightarrow{pGH} & \mathbb{R}^k \times \{\text{pt}\}. &
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- The crucial point is to show $Y_\infty \equiv \mathbb{R}^{n-k}$.

Step 1: The Collapsed Limit Space is \mathbb{R}^k

Lemma

Let (X, d) be complete non-compact. If X is C_0 -homogeneous, i.e., $\exists C_0 > 0$ s.t. $\forall x, y \in X$, there is an isometry $f \in \text{Isom}(X)$ with

$$d_X(y, f(x)) \leq C_0, \quad (41)$$

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- X/G is compact and $G \leq \text{Isom}(X) \implies X$ admits a line.
- The above lemma and Cheeger-Colding's Splitting Theorem (in the limit) tell us that

$$Y_\infty \equiv \mathbb{R}^d \times K_\infty \quad (42)$$

for some $d \geq 0$ and some compact space K_∞ .

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 \downarrow & & \downarrow \\
 (\widetilde{M}_j^n / \mathcal{N}_j, \hat{p}_j) & \longrightarrow & (\mathbb{R}^k \times (\mathbb{R}^d / \mathcal{N}_\infty), \hat{p}_\infty) \\
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Subtlety: $\mathbb{R}^d / \mathcal{N}_\infty$ might be non-compact.

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Lemma (Nonlocalness Lemma)

Given $n \geq 2$, $\epsilon > 0$, $R \geq 1$, there exists

$$\Psi_1 = \Psi_1(\epsilon, R, n) > 0, \quad N_1 = N_1(\epsilon, R, n) < \infty \quad (44)$$

such that the following properties hold: Let (M^n, g, p) satisfy $\text{Ric} \geq -(n-1)$. If $\gamma \in \text{Isom}(M^n)$ satisfies

$$d(\gamma \cdot p, p) < \Psi_1(\epsilon, R, n), \quad (45)$$

then there is some positive integer $1 \leq d \leq N_1$ such that for all $x \in B_R(\hat{p})$

$$d(\gamma^d \cdot x, x) < \epsilon. \quad (46)$$

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Idea: \mathcal{N}_∞ is a nilpotent Lie group and $\dim_{\mathcal{H}}(\mathcal{N}_\infty \cdot 0^d) = n - k$
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- (1) **(definite displacement)** $10^{-4} \cdot \epsilon < d(\sigma_\infty \cdot \hat{p}, \hat{p}) < 10^{-3} \cdot \epsilon$,
- (2) **(non-localness)** $d(\sigma_\infty \cdot \hat{q}, \hat{q}) < \epsilon, \forall \hat{q} \in B_{\epsilon^{-1}}(\hat{p})$.

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- $\sigma_\infty \neq \{e\}$ and $\sigma_\infty \in (\mathcal{N}_\infty)_0$ (**the identity component of \mathcal{N}_∞**)

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- The general case follows from inductive arguments.

Step 2: The Universal Cover is Non-Collapsing

Goal: Let $\text{pr}_j : \widetilde{B_2(p_j)} \rightarrow B_2(p_j)$ be the universal cover,

$$\begin{cases} d_{GH}(B_2(p_j), B_2(z^k)) < \delta, & B_2(z^k) \subset Z^k, \\ \text{rank}(\Gamma_\delta(p_j)) = n - k \end{cases} \quad (48)$$

implies $\text{Vol}(B_1(\tilde{p}_j)) \geq v(\delta, Z^k)$.

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- $\dim(Z^k) = k \xrightarrow{\text{Colding-Naber}} \text{Let } q_\infty \in B_1(z^k) \text{ be a regular point such that } \forall \eta > 0, \exists s(\delta, n, B_1(z^k)) > 0 \text{ such that}$

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- Let $q_j \in B_1(p_j)$ and $q_j \rightarrow q_\infty$, then

$$d_{GH}(B_s(q_j), B_s(0^k)) < 2s \cdot \eta. \quad (50)$$

Step 2: The Universal Cover is Non-Collapsing

- Now it is reduced to Step 1, $\text{pr}_j^{-1}(B_s(q_j))$ contains a smaller ball $B_{s_0}(\tilde{q}_j)$ of **definite scale radius** which is very close to $B_{s_0}(0^n) \subset \mathbb{R}^n$ (in the Gromov-Hausdorff sense).

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- Applying Colding's Volume Convergence Theorem,

$$\text{Vol}(B_{s_0}(\tilde{q}_j)) \geq (1 - 10^{-3}) \text{Vol}(B_{s_0}(0^n)) \geq \frac{1}{2} \omega_n s_0^n > 0. \quad (51)$$

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- In general, when the **non-Euclidean** part of limit space downstairs is **non-compact**, then the picture in Step 1 **fails**.
- There exist a sequence of collapsing manifolds with positive Ricci curvature and with maximal nilpotent rank, but the limit space of their universal covers **does not split off any line**.
- This collapsing sequence is based on an example of positively Ricci curved manifold constructed by Guofang Wei (1989).

An Example

Wei constructed an example of a complete **non-compact** manifold (M^n, g) which satisfies the following property:

- with $\text{Ric}_g > 0$
- a simply-connected nilpotent Lie group \mathcal{N}^k acts freely and isometrically on M^n .
- M^n/\mathcal{N}^k is diffeomorphic, but not isometric, to \mathbb{R}^{n-k} .

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We choose a sequence of co-compact lattices $\Gamma_j \in \mathcal{N}^k$ such that $\Gamma_j \rightarrow \mathcal{N}^k$, then

- $\text{rank}(\Gamma_j) = \dim \mathcal{N}^k = k$,
-

$$\begin{array}{ccc}
 M^n & \xlongequal{\quad} & M^n & (52) \\
 \text{pr}_i \downarrow & & \downarrow \text{pr}_\infty & \\
 M^n/\Gamma_j & \longrightarrow & \mathbb{R}^{n-k} \equiv M^n/\mathcal{N}^k. &
 \end{array}$$

Step 3: Line Splitting v.s. Cone Splitting

Theorem (Cheeger-Colding's Line Splitting, 1996)

Let $(M_i^n, g_i, p_i) \xrightarrow{GH} (X, d, p)$ with $\text{Ric} \geq -\delta_i \rightarrow 0$. Assume that (X, d, p) admits a line, then $X \cong \mathbb{R}^k \times Y$ such that Y does not admit any line.

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Theorem (Cone Splitting Principle)

Let $(C(Y), y^*)$ be a metric cone with vertex y^* . Assume that there is another cone tip $\bar{y}^* \in C(Y)$, then there exists a line connecting y^* and \bar{y}^* . Moreover,

$$C(Y) \equiv \mathbb{R}^1 \times C(W). \quad (53)$$

General Collapsed Limit $\mathbb{R}^{k-\ell} \times Z^\ell$

- Step 2 gives a sequence of non-collapsing universal covers:

$$(\widetilde{M}_j^n, \tilde{p}_j) \xrightarrow{GH} (\mathbb{R}^{k-\ell} \times \widetilde{Z}^{n-k+\ell}, (0^{k-\ell}, \tilde{z}^{n-k+\ell})). \quad (54)$$

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- There is a blowing-up sequence $\lambda_j \rightarrow \infty$ such that

$$(\lambda_j \cdot \widetilde{M}_j^n, \tilde{p}_j, \mathcal{N}_j) \xrightarrow{eqGH} (\mathbb{R}^{k-\ell} \times C(\widetilde{Y}), (0^{k-\ell}, y^*), \mathcal{N}_\infty), \quad (55)$$

where $C(\widetilde{Y}) \equiv \mathbb{R}^d \times C(\widehat{W})$ and the integer $d \geq 0$ is chosen such that $(C(\widehat{W}), w^*)$ **does not** split off any Euclidean factor.

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- The key is to show that $d = n - k$.

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$$\dim_{\mathcal{H}}(\mathcal{N}_\infty) = n - k, \quad \dim_{\mathcal{H}}(\mathcal{N}_\infty \cdot \hat{z}) = n - k. \quad (56)$$

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- **Cone Splitting Principle** $\implies \mathcal{N}_\infty \cdot \hat{z} \subset \mathbb{R}^d$, and thus

$$d \geq n - k. \quad (57)$$

THANK YOU!