

Manifolds with Integral Ricci Curvature Lower Bounds

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Motivation

- Integral curvature bound is a **natural**, and much **weaker** condition.

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- It appears naturally in **isospectral** problems and geometric **variation** problems.

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Structure of spaces with two-sided Ricci bound: **L^2 bound for curvature tensor**—Cheeger-Naber (2015) and Jiang-Naber

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Commonly used integral curvature

$$\left(\int_M |\sec|^p \right)^{1/p}$$

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It is scale invariant when $p = \frac{n}{2}$.

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Commonly used integral curvature

$$\left(\int_M |\sec|^p \right)^{1/p}$$

It is scale invariant when $p = \frac{n}{2}$.

Generalizes two-sided bounds

Integral Curvature **Lower** Bounds

The following quantity measure the amount of **Ricci** curvature lying below $(n - 1)H$ in L^p norm.

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Integral Curvature **Lower** Bounds

The following quantity measure the amount of **Ricci** curvature lying below $(n - 1)H$ in L^p norm.

Let $\rho(x)$ be the smallest eigenvalue for the Ricci tensor and

$$\|\text{Ric}_-^H\|_{p,R} = \sup_{x \in M} \left(\int_{B(x,R)} ((n-1)H - \rho(x))_+^p d\text{vol} \right)^{\frac{1}{p}}.$$

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Note:

$$\|\text{Ric}_-^H\|_{p,R} = 0 \iff \text{Ric}_M \geq (n-1)H.$$

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Scale invariant curvature quantity (with $H = 0$):

$$k(x, p, R) = R^2 \left(\int_{B_R(x)} \rho_-^p \right)^{\frac{1}{p}}, \quad k(p, R) = \sup_{x \in M} k(x, p, R).$$

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Problem: Study the geometry of manifolds with integral Ricci lower bounds as compared to the geometry of manifolds with pointwise Ricci lower bounds.

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Answer: Yes and No

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- Gallot (1988), D. Yang (1988-92), Petersen-Wei (1997, 2001), Petersen-Sprouse (1998), Dai-Petersen-Wei (2000), Dai-Wei (2004), Aubry (2007, 2009), Tian-Z. Zhang (2016)

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- Anderson (1989), Anderson-Cheeger (1991), Cheeger (2003), Cheeger-Tian (2006) Streets (2013)

No: Examples

Example (S. Gallot, D. Yang) \exists sequence of Riemannian manifolds with

$$\text{diam } M \leq D$$

$$\text{vol } M \geq v$$

$$\int_M |\text{sec}|^p d \text{vol} \leq \Lambda$$

but with **arbitrarily short closed geodesics**.

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metric of the neck

$$dr^2 + (\epsilon + r)^{2k} d\theta^2$$

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L^p curvature is bounded as $\epsilon \rightarrow 0$

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No Volume Doubling

Yes: Laplacian Comparison

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A very important tool for studying manifolds with Ricci curvature lower bound is the **Laplacian Comparison**:

Yes: Laplacian Comparison

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A very important tool for studying manifolds with Ricci curvature lower bound is the **Laplacian Comparison**:

M^n , $\text{Ric}_M \geq (n-1)H$, then $\Delta r \leq \Delta_H r$, where r is the distance function.

Yes: Laplacian Comparison

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M^n , $\text{Ric}_M \geq (n-1)H$, then $\Delta r \leq \Delta_H r$, where r is the distance function.

For simplicity, take $H = 0$, we have

$$\text{Ric}_- \equiv 0 \implies \psi \equiv 0,$$

where $\psi = \left(\Delta r - \frac{n-1}{r}\right)_+$ — amount Laplacian comparison failed.

Laplacian Estimate for Integral Curvature

Theorem (Petersen-Wei,1997,Gafa)

Given M^n , for $p > \frac{n}{2}$, $r > 0$,

$$\|\psi\|_{2p,B(x,r)} \leq C(n,p) \|\text{Ric}_-\|_{p,B(x,r)}^{\frac{1}{2}}.$$

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Key:

$$\psi' + \frac{\psi^2}{n-1} + \frac{2}{r}\psi \leq \text{Ric}_-$$

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$$\psi' + \frac{\psi^2}{n-1} + \frac{2}{r}\psi \leq \text{Ric}_-$$

Lemma

$$\frac{\mathcal{A}'}{\mathcal{A}}(r, \theta) = \Delta r.$$

Volume Estimate for Integral Curvature

Theorem (Petersen-Wei,1997,GAFA)

Given M^n , for $p > \frac{n}{2}$, $R \geq r > 0$,

$$\left(\frac{\text{vol}(B_R(x))}{R^n} \right)^{\frac{1}{2p}} - \left(\frac{\text{vol}(B_r(x))}{r^n} \right)^{\frac{1}{2p}} \\ \leq C(n, p) R^{1-\frac{n}{2p}} (\|Ric_-\|_{p, B_R(x)})^{\frac{1}{2}}.$$

Volume Estimate for Integral Curvature

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In other words,

$$\left(\frac{\text{vol}(B_r(x))}{\text{vol}(B_R(x))} \right)^{\frac{1}{2p}} \geq \left(\frac{r}{R} \right)^{\frac{n}{2p}} \left[1 - C(n, p) (k(x, p, R))^{\frac{1}{2}} \right].$$

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In fact true for volume of geodesic sphere.

Some Remarks

- When $\|\text{Ric}_-\|_{p,R} = 0$, this gives the usual Laplacian and Bishop-Gromov volume comparison.

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- When $\|\text{Ric}_-\|_{p,R} = 0$, this gives the usual Laplacian and Bishop-Gromov volume comparison.
- Let $r \rightarrow 0$, we get absolute volume comparison:
 $\text{vol}(B_R(x))$ is bounded whenever $\|\text{Ric}_-\|_{p,R}$ is bounded.

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 $\text{vol}(B_R(x))$ is bounded whenever $\|\text{Ric}_-\|_{p,R}$ is bounded.
- When $k(x, p, R) = R^2 \left(\int_{B_R(x)} \rho_-^p \right)^{\frac{1}{p}}$ is **small** ($\leq \epsilon_0(n, p)$), it **gives volume doubling**.

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- It's **not true** when $p \leq \frac{n}{2}$.
- With volume growth condition $\text{vol}(B_R(x)) \geq cR^n$, $k(x, p, R) \leq c^{-\frac{1}{p}} R^{2-\frac{n}{p}} \|\text{Ric}_-\|_{p, B_R(x)} \leq \epsilon_0$ when $\|\text{Ric}_-\|_p$ is bounded and $R \leq R_0$.

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- With volume growth condition $\text{vol}(B_R(x)) \geq cR^n$,
 $k(x, p, R) \leq c^{-\frac{1}{p}} R^{2-\frac{n}{p}} \|\text{Ric}_-\|_{p, B_R(x)} \leq \epsilon_0$ when $\|\text{Ric}_-\|_p$ is bounded and $R \leq R_0$.
- When $k(p, r)$ is small for **some** r , it gives control on $k(p, r)$ for **all** r .

Isoperimetric constant estimate for integral curvature

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Theorem (D. Yang 1992)

Given $p > n/2$ and $v > 0$, there is an $\varepsilon(n, p, v) > 0$ such that if $\text{vol}(B_1(x)) \geq v$ and $k(p, 1) \leq \varepsilon$, then $Is(B_{\frac{1}{2}}(x)) \leq C(n, p, v)$,

where $Is(B_{\frac{1}{2}}(x)) = \sup\left\{\frac{\text{vol}(\Omega)^{1-\frac{1}{n}}}{\text{vol}(\partial\Omega)} : \Omega \subset B_{\frac{1}{2}}(x)\right\}$.

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Theorem (Gallot1988, Petersen-Sprouse1998)

Given M^n **closed** manifold with diameter D , for $p > n/2$, there is an $\varepsilon(n, p) > 0$ such that if $k(p, D) \leq \varepsilon$, then $Is(M) \leq C(n, p, D) / \text{vol}(M)^{\frac{1}{n}}$, where $Is(M) = \sup\{\frac{\text{vol}(\Omega)^{1-\frac{1}{n}}}{\text{vol}(\partial\Omega)} : \Omega \subset M, \text{vol}(\Omega) \leq \frac{1}{2}\text{vol}(M)\}$.

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Theorem (Dai-Petersen-Wei 2000)

Given M^n , $p > \frac{n}{2}$, $D > 0$, there exists $\epsilon(n, p, D)$ such that if $\text{diam}_M \leq D$, $(\int |Rm|^p)^{\frac{1}{p}} \leq \epsilon$, then M^n is diffeomorphic to an infra-nilmanifold.

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In general, when $k(p, R)$ is small, many results for manifolds with pointwise Ricci lower bound can be extended although sometimes some serious extra work is needed.

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In general, when $k(p, R)$ is small, many results for manifolds with pointwise Ricci lower bound can be extended although sometimes some serious extra work is needed. And most require non-collapsing condition.

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In general, when $k(p, R)$ is small, many results for manifolds with pointwise Ricci lower bound can be extended although sometimes some serious extra work is needed. And most require non-collapsing condition.

Integral version of Synge theorem is not true.

Main Result

Theorem (Dai-Wei-Z.Zhang2018, AIM)

Given M^n , $p > \frac{n}{2}$, there exists $\varepsilon = \varepsilon(p, n)$ such that if $k(p, 1) \leq \varepsilon$, then for any $x \in M$, $r \leq 1$ with $\partial B_r(x) \neq \emptyset$,

$$Is(B_r(x)) \leq C(n)r/(\text{vol}(B_r(x)))^{\frac{1}{n}}.$$

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Main Result

Theorem (Dai-Wei-Z.Zhang2018, AIM)

Given M^n , $p > \frac{n}{2}$, there exists $\varepsilon = \varepsilon(p, n)$ such that if $k(p, 1) \leq \varepsilon$, then for any $x \in M$, $r \leq 1$ with $\partial B_r(x) \neq \emptyset$,

$$Is(B_r(x)) \leq C(n)r / (\text{vol}(B_r(x)))^{\frac{1}{n}}.$$

Corollary

Under the same assumption as above, we have the Sobolev inequality

$$\left(\int_{B_r(x)} f^{\frac{n}{n-1}} \right)^{\frac{n-1}{n}} \leq C(n)r \int_{B_r(x)} |\nabla f|,$$

for all $f \in C_0^\infty(B_r(x))$ where $r \leq 1$.

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- Harnack inequality, Heat kernel bounds

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Theorem (Gradient Estimate)

Given M^n , $p > n/2$, there is an $\varepsilon(n, p) > 0$ and $C(n, p) > 1$ such that for $R \leq 1$ if $k(p, 1) \leq \varepsilon$ and u is a function on $B_1(x)$ satisfying

$$\Delta u = f,$$

then

$$\sup_{B_{\frac{R}{2}}(x)} |\nabla u|^2 \leq C(n, p) R^{-2} \left[(\|u\|_{2, B_R(x)}^*)^2 + (\|f\|_{2p, B_R(x)}^*)^2 \right].$$

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- When $k(p, 1)$ is small, small ball have small volume relative to big ball
(measure can't concentrate on small ball)

Theorem

Given (M^n, g) , $p > \frac{n}{2}$, there exist $\varepsilon = \varepsilon(n, p) > 0$ and $r_0 = r_0(n) > 0$ such that if $k(p, 1) \leq \varepsilon$, then

$$\frac{\text{vol}(B_{r_0}(x))}{\text{vol}(B_1(x))} \leq \frac{1}{2}, \forall x \in M.$$

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- Use Gromov's lemma, Laplacian comparison to get Heintze-Karcher type volume estimate for integral Ricci curvature

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- Use Gromov's lemma, Laplacian comparison to get Heintze-Karcher type volume estimate for integral Ricci curvature

Theorem

Let H be any hypersurface dividing M into two parts. For any ball $B = B_r(x)$ we have

$$\begin{aligned} & \min(\text{vol}(B \cap M_1), \text{vol}(B \cap M_2)) \\ & \leq 2^{n+1} r \left[\text{vol}(H \cap B_{2r}(x)) + \text{vol}(B_{2r}(x)) \| \text{Ric}_- \|_{p, B_{2r}(x)}^{*\frac{1}{2}} \right]. \end{aligned}$$

Combining above we control the error term and obtain

Proposition (Key Estimate)

Given a hypersurface H dividing M^n into two parts, there exists $\varepsilon = \varepsilon(p, n)$ such that if $k(x, p, 1) \leq \varepsilon$, then for a metric ball $B = B_r(x)$, $r \leq \frac{1}{2}$, which is divided equally by H , we have

$$\text{vol}(B_r(x)) \leq 2^{n+3} r \text{vol}(H \cap B_{2r}(x)).$$

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■ Vitali's covering lemma

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■ Vitali's covering lemma

For any $x \in \Omega$, let r_x be the smallest radius such that

$$\text{vol}(B_{r_x}(x) \cap \Omega) = \text{vol}(B_{r_x}(x) \cap \Omega^c) = \frac{1}{2} \text{vol}(B_{r_x}(x)).$$

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■ Vitali's covering lemma

For any $x \in \Omega$, let r_x be the smallest radius such that

$$\text{vol}(B_{r_x}(x) \cap \Omega) = \text{vol}(B_{r_x}(x) \cap \Omega^c) = \frac{1}{2} \text{vol}(B_{r_x}(x)).$$

The domain Ω has a covering

$$\Omega \subset \bigcup_{x \in \Omega} B_{2r_x}(x).$$

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Thank You