

# From gauge theory to calibrated geometry and back

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# Two variational problems in geometry

Given a Riemannian manifold  $M$ , we are interested in minimizing

## 1. The Yang–Mills functional

$$\text{connection } A \mapsto \int_M |F_A|^2$$

## 2. The volume functional

$$\text{submanifold } Q \mapsto \text{volume}(Q)$$

## Classical examples

1. Electromagnetism; Hodge theory
2. Geodesics; minimal surfaces

Special holonomy manifolds (Calabi–Yau,  $G_2$ ,  $\text{Spin}(7)$ ) have natural calibrations, i.e. differential forms  $\phi \in \Omega^k(M)$  such that

$$d\phi = 0 \quad \text{and} \quad \phi(e_1, \dots, e_k) \leq \text{vol}(e_1, \dots, e_k)$$

1. **Instantons**: connections  $A$  satisfying

$$F_A + *(F_A \wedge \phi) = 0 \quad \implies \quad A \text{ is a Yang–Mills connection}$$

2. **Calibrated submanifolds**:  $Q \subset M$  satisfying

$$\phi|_Q = \text{vol}_Q \quad \implies \quad Q \text{ is a minimal submanifold}$$

**Calabi–Yau**: holomorphic curves and surfaces, special Lagrangians

**$G_2$** : associative and coassociative submanifolds

**$\text{Spin}(7)$** : Cayley submanifolds

The Euler–Lagrange equations for the Yang–Mills and volume functionals are non-linear generalizations of the Laplace equation

$$\Delta f = 0.$$

Instantons and calibrated submanifolds obey simpler, first order elliptic differential equations.

### Analogy

Cauchy–Riemann equation  $\bar{\partial}f = 0$  or Dirac equation  $\not{D}f = 0$

$$\implies \Delta f = 0.$$

# Motivations from low dimensions

1. **Invariants of manifolds:** Donaldson defined topological invariants of 4-manifolds by "counting" instantons

$$F_A + *F_A = 0.$$

More generally, there is Donaldson–Floer topological field theory

<b>dimension</b>	<b>type of invariant</b>
4	number
3	vector space
2	category

2. **Algebraic geometry**: Atiyah and Bott related flat connections

$$F_A = 0$$

to Mumford's theory of holomorphic vector bundles on curves.

3. **Symplectic geometry**: Monopoles on symplectic 4-manifolds correspond to pseudo-holomorphic curves, by work of Taubes.
4. **Quantum field theory**: Chern–Simons theory and quantum invariants of knots, Seiberg–Witten QFT,  $S$ -duality

We want to find similar beautiful structures in higher dimensions, for Calabi–Yau,  $G_2$ , and Spin(7) manifolds.

I will mention three fascinating but challenging problems.

# 1. Invariants

## Problem (Donaldson–Thomas, 1998)

Define invariants by counting instantons / calibrated submanifolds.

dimension	holonomy	type of invariant
8	$\text{Spin}(7)$	number
7	$G_2$	vector space
6	$\text{SU}(3)$	category



## Main difficulties

1. Bubbling phenomenon (Uhlenbeck): for a sequence  $(A_n)$  of instantons, energy  $|F_{A_n}|^2$  can concentrate as  $n \rightarrow \infty$  along codimension 4 subset  $S \subset M$ , which is calibrated (Tian)  
 $\implies$  Gauge theory and calibrated geometry are closely related!
2. Singularities of instantons
3. Singularities of calibrated cycles

Interesting foundational questions in analysis: elliptic differential equations, geometric measure theory (cf. DeLellis, Naber, ...)

## 2. Algebraic geometry

Donaldson–Thomas invariants were rigorously constructed for Calabi–Yau three-folds using sheaf theory.

instanton  $\iff$  stable holomorphic bundle  $\iff$  sheaf of sections  
calibrated surface  $\iff$  holomorphic curve  $\iff$  ideal sheaf

There is rich algebraic theory of moduli spaces of sheaves!

Connections with mirror symmetry and representation theory

**Conjecture (Maulik–Nekrasov–Okounkov–Pandharipande, 2003)**

Donaldson–Thomas invariants of Calabi–Yau three-folds are equivalent to Gromov–Witten invariants:

$$GW_A(u) = DT_A^{\text{red}}(q) \quad q = -e^{iu}$$

### 3. Symplectic geometry

Gromov–Witten invariants depend only on the symplectic structure.

#### Problem

Find a symplectic interpretation of Donaldson–Thomas invariants.

We should count instantons and **embedded pseudo-holomorphic curves** as in Taubes' work on symplectic 4–manifolds.

This approach can help us understand better the MNOP conjecture (cf. proof of Gopakumar–Vafa conjecture by Ionel–Parker, 2013) and shed light on higher rank invariants.

# Some recent developments

## 1. Constructions

Instantons on known  $G_2$  and  $\text{Spin}(7)$  manifolds (Walpuski, Sá Earp, Menet, Nordström, Tanaka); similarly for calibrated submanifolds (discussed in Mark Haskins' talk)

## 2. Analytic foundations

Gluing theorems for associatives (Joyce, Nordström) and instantons (Walpuski); orientations (Cao, Joyce, Upmeyer, Tanaka); new ideas on counting problems (Joyce, Haydys, Walpuski)

## 3. Singularities

Local models (Bryant, Li, Joyce); deformation theory (Wang, Waldron); relations to algebraic geometry (Jacob, Walpuski, Chen, Sun), singularities in gauge theory (Haydys, Walpuski, Doan)

## 4. Dualities

$G_2$  fibrations (Li, Donaldson, Scaduto), twisted connected sums (Acharya, Braun, Svanes, Valandaro)

# Instantons $\rightarrow$ calibrated submanifolds $\rightarrow$ monopoles

Donaldson–Segal, Haydys, Walpuski

$(M^7, \phi) = G_2$ -manifold

When  $\phi$  varies,  $G_2$  instantons can bubble along associative  $S \subset M$   
 $\implies$  counting  $G_2$  instantons does not yield invariants of  $M$

Idea

Count also associatives  $S$  with weights. Schematically,

$$\text{DT}(M, \phi) = \sum_{A \text{ instanton on } (M, \phi)} \text{sign}(A) + \sum_{S \subset M \text{ calibrated by } \phi} w(S, \phi)$$

We want  $w(S, \phi)$  to change by  $\pm 1$  when bubbling along  $S$  happens.

Haydys and Walpuski proposed to construct  $w(S, \phi)$  by counting **monopoles**, solutions to generalized Seiberg–Witten equations on  $S$ .

This proposal connects special holonomy geometry with problems in low-dimensional topology, especially with

1. work of Taubes on flat  $SL(2, \mathbb{C})$  connections, related 3-manifolds invariants of Abouzaid–Manolescu;
2. new approaches to Khovanov homology via gauge theory by Witten and via symplectic geometry by Seidel–Smith.

# Counting associatives

joint work with Thomas Walpuski

The proposal is interesting even when we ignore instantons.  
The naive count of associatives is not an invariant as  $\phi$  varies.  
We expect that these transitions can occur:

1.  $S_1, S_2 \rightsquigarrow S_1 \# S_2$  (Joyce–Nordström crossing)
2.  $S_1, S_2 \rightsquigarrow S_3$  (Harvey–Lawson smoothing of cone singularities);
3.  $S_1 \rightsquigarrow kS_2$  for  $k > 1$  (degeneration to multiple cover).

The idea is to equip each  $S$  with a weight given by counting solutions to generalized Seiberg–Witten equations on  $S$ .  
For the usual Seiberg–Witten invariant (provided  $b_1 > 1$ ):

$$\begin{aligned}w(S_1 \# S_2) &= 0 \\w(S_1) + w(S_2) &= w(S_3)\end{aligned}$$

Multiple covers are harder. To understand this better, consider a dimensional reduction of this proposal to  $S^1 \times \text{CY}_3$ .



# Towards symplectic invariants

$M =$  Calabi–Yau three-fold, or symplectic 6–manifold with  $c_1 = 0$

## Theorem (Doan–Walpuski)

For a generic compatible  $J$  there are finitely many closed, embedded  $J$ –holomorphic curves in a given class  $A \in H_2(M, \mathbb{Z})$ . If  $A$  is primitive, a signed count of genus  $g$  curves  $n_{g,A}$  does not depend on  $J$  and fits into the Gopakumar–Vafa formula. For  $g$  large,  $n_{g,A} = 0$  (finiteness part of the GV conjecture).

Proof uses work / ideas of DeLellis et al., Taubes, Wendl, Zinger.

If  $A \in H_2(M, \mathbb{Z})$  is divisible, the naive count depends on  $J$ .

Suppose  $A = 2B$  with  $B$  primitive.

As  $J_t$  varies, we can have  $[\tilde{C}_t] = A$  and  $\tilde{C}_t \rightarrow 2C$  with  $[C] = B$ .

Consider

$$DT_A(M, J) = \sum_{[\tilde{C}] = A} SW_1(\tilde{C}) + \sum_{[C] = B} SW_2(C, J)$$

$SW_1(C) =$  count of solutions to the abelian vortex equations on  $C$

$SW_2(C, J) =$  count of solutions to non-abelian vortex equations similar to Hitchin's equations and depending on  $J$

$$\begin{cases} \bar{\partial}_{J,A}\xi = 0, & \bar{\partial}_A\alpha = 0, & \bar{\partial}_A\beta = 0 \\ [\xi \wedge \xi] + \alpha \cdot \beta = 0 \\ i * F_A + [\xi \wedge \xi^*] + \alpha\alpha^* - \beta^*\beta = 0 \end{cases}$$

$SW_2(C, J)$  changes whenever there exists a  $J$ -holomorphic section

$$C \rightarrow \text{Sym}^2 N_{C/M}.$$

This is Hitchin's spectral curve construction:

$$\xi \in \Gamma(N \otimes \text{End} E)$$

$$[\xi \wedge \xi] = 0,$$

$$[\xi \wedge \xi^*] = 0.$$

Such a section can be deformed to a  $J$ -holomorphic curve  $\tilde{C}$  with  $[\tilde{C}] = 2B = A$ . Thus,  $DT_A(M, J)$  should not depend on  $J$ .

To prove invariance, we need to study carefully the compactness problem for these equations (following Taubes, Walpuski–Zhang).

After a long journey we come back to where we started:  
gauge theory on Riemann surfaces.



Michael Atiyah and Raoul Bott