

# Automorphisms of Fano contact manifolds

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reporting on results of  
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These are the Riemannian symmetric spaces with a quaternionic structure. One for each simple compact Lie group  $G$  parametrizes subalgebras associated to a highest root in  $\mathfrak{g}$ .

Given a compact simple Lie algebra  $\mathfrak{g}$ , fix such a “minimal” subalgebra  $\mathfrak{su}(2) = \mathfrak{sp}(1)$ . The Wolf space is

$$M = \frac{G}{H},$$

where  $H = N(\mathfrak{sp}(1)) = \{g \in G : \text{Ad}(g)(\mathfrak{sp}(1)) = \mathfrak{sp}(1)\}$ .

If  $G$  is centreless, the linear holonomy group is

$$H = KSp(1) \subseteq Sp(n)Sp(1).$$

Each tangent space  $T_oM \cong \mathbb{H}^n \otimes \mathbb{H}$  has dimension  $4n$ , and admits almost complex structures  $I, J, K$ , no preferred choice.

Two 4-dimensional examples

$$S^4 = \frac{SO(5)}{SO(4)} = \frac{Sp(2)}{SU(2) \times SU(2)}$$

$$\mathbb{P}^2 = \mathbb{C}\mathbb{P}^2 = \frac{SU(3)/\mathbb{Z}_3}{U(2)} = \frac{SU(3)}{U(1) \times SU(2)}.$$

branch out into three classical families of  $4n$ -manifolds.

$$\mathbb{H}\mathbb{P}^n = \frac{Sp(n+1)}{Sp(n) \times Sp(1)}$$

$$\mathbb{G}r_2(\mathbb{C}^{n+2}) = \frac{SU(n+2)}{S(U(n) \times U(2))}$$

$$\mathbb{G}r_4(\mathbb{R}^{n+4}) = \frac{SO(n+4)}{SO(n) \times SO(4)}.$$

The exceptional Lie groups  $G_2, F_4, E_6, E_7, E_8$  give Wolf spaces of quaternionic dimension  $n = 2, 7, 10, 16, 28$ .

Only  $\mathbb{G}r_2(\mathbb{C}^{n+2})$  ( $= \mathbb{G}r_4(\mathbb{R}^6)$  if  $n = 2$ ) has  $b_2 > 0$ . The others admit no almost complex structure even stably [GMS].

A *quaternion-Kähler* (QK) manifold is a Riemannian manifold  $M$  of dimension  $4n \geq 8$  with holonomy

$$H \subseteq Sp(n)Sp(1)$$

and not Ricci-flat. There is equality unless  $M$  is locally isometric to a symmetric space other than  $\mathbb{H}P^n$ .

A QK manifold is Einstein, so there is a dichotomy:  $s < 0$ ,  $0 < s$ . Positive QK manifolds are “nearly hyperkähler” but only the 4-form

$$\Omega = \sum_{i=1}^3 \omega_i \wedge \omega_i$$

is well defined.  $Sp(n)Sp(1)$  is the only member of Berger’s list for which the existence of compact examples with  $\nabla R \neq 0$  is open, though there are new complete examples with  $s < 0$  constructed via Hessian metrics [Cortés et al].

We’ll assume that  $M$  is compact QK with  $s > 0$  from now on.

The holonomy reduction equips each tangent space  $T_m M$  with a 2-sphere

$$Z_m = \{aI + bJ + cK : a^2 + b^2 + c^2 = 1\}$$

of almost complex structures. The associated bundle  $Z$  over  $M$  is a complex manifold in which each fibre is a rational curve.

If  $M$  has positive scalar curvature,  $Z$  is Kähler-Einstein; its 2-form  $\omega$  is the curvature of an ample line bundle  $L$  such that  $L|_{Z_m} \cong \mathcal{O}(2)$ .

The twistor space  $Z$  is locally a  $\mathbb{C}^*$  quotient of a hyperkähler manifold  $U$ , with fibre  $T^*\mathbb{P}^1$  over  $M$ , mimicking

$$\begin{array}{c} U \subset \mathbb{H}^{n+1}/\mathbb{Z}_2 \\ \downarrow \\ \mathbb{P}^{2n+1} \\ \downarrow \\ \mathbb{H}\mathbb{P}^n. \end{array}$$

The symplectic form  $\omega_2 + i\omega_3$  on  $U$  induces a short exact sequence

$$0 \rightarrow D \rightarrow TZ \xrightarrow{\theta} L \rightarrow 0,$$

for which  $\theta \in H^0(Z, T^*Z \otimes L)$  satisfies

$$0 \neq \theta \wedge (d\theta)^n \in H^0(Z, \mathbb{K} \otimes L^{n+1}).$$

This defines a complex contact structure, and  $\overline{\mathbb{K}} \cong L^{n+1}$ .

Locally,  $D \cong L^{1/2} \otimes \pi^*E$ , where  $E$  is the instanton bundle with fibre  $\mathbb{H}^n$  and  $\Omega_i^j \in \bigcap \Lambda^{1,1}$ . Thus  $D \cong D^* \otimes L$ , and if  $z \in Z$  is a fixed point of a torus action then

$$L_z \otimes T_z^*Z \cong \mathbb{C} + D_z$$

defines a “compass” of weights  $(0, \nu_1, \nu - \nu_1, \dots, \nu_n, \nu - \nu_n)$ .

When  $M^{4n}$  is a Wolf space,

$$Z = \frac{G}{KU(1)} \begin{array}{c} \downarrow \\ \frac{G}{KSp(1)} \end{array} = M.$$

The twistor space  $Z$  can be described as

- ▶ a minimal adjoint orbit in  $\mathfrak{g}$ ;
- ▶ a closed nilpotent orbit in  $\mathbb{P}(\mathfrak{g}_c^*)$  with  $L \cong \iota^*\mathcal{O}(1)$ .

All homogeneous complex contact manifolds arise in this way.

Another TDS  $\mathfrak{su}(2) \subset \mathfrak{g}$  will give rise to an open nilpotent coadjoint orbit  $U$  and an incomplete QK space  $U/\mathbb{H}^*$ . E.g.,

$$\mathbb{P}(\{A \in SL(3, \mathbb{C}) : A^3 = 0, A^2 \neq 0\})$$

is a  $\mathbb{Z}_3$  quotient of  $Z(G_2/SO(4) \setminus \mathbb{P}^2)$  [BK, “shared orbits”].

The classical twistor spaces of complex dimension  $2n + 1$  are

$$\begin{aligned}Z \mathbb{H}\mathbb{P}^n &= \mathbb{P}^{2n+1} \\Z \mathrm{Gr}_2(\mathbb{C}^{n+2}) &= \mathbb{P}(T^*\mathbb{P}^{n+1}) \\Z \mathrm{Gr}_4(\mathbb{R}^{n+4}) &= \mathrm{Gr}(\mathbb{P}^1, \mathbb{Q}^{n+2}).\end{aligned}$$

- ▶  $\mathbb{P}^{2n+1}$  has index  $2n + 2$  because  $L = \mathcal{O}(2)$ . Recall that a Fano manifold of index  $2n + 2$  must be  $\mathbb{P}^n$  or a quadric  $\mathbb{Q}^n \subset \mathbb{P}^{n+1}$  [Kobayashi-Ochiai].
- ▶  $\mathbb{P}(T^*\mathbb{P}^{n+1})$  has  $b_2 = 2$ . Any twistor space  $Z$  with  $b_2 > 1$  admits a contraction  $Z \rightarrow \mathbb{P}^{n+1}$  with fibres tangent to  $D$  [Wiśniewski].
- ▶  $\mathrm{Gr}(\mathbb{P}^1, \mathbb{Q}^{n+2})$  parametrizes lines on the quadric. It has  $b_2 = 1$  and  $\mathrm{Pic} = \mathbb{Z} \cdot L$  for  $n > 2$ .



Let  $Z^{2n+1}$  be a contact manifold with  $L$  ample.

**Theorem [LeBrun].** A Fano contact manifold  $Z$  is a QK twistor space if (and only if)  $Z$  admits a Kähler-Einstein metric.

$Z$  is homogeneous iff it is the twistor space of a Wolf space.

The KE metric is not really used in any of the classification results. Unless  $Z = \mathbb{P}^{2n+1}$ ,

$$H^0(Z, TZ) \xrightarrow{\cong} H^0(Z, L)$$

is the space of infinitesimal contact automorphisms, known to be non-zero if  $n \leq 4$ :

|               |   |   |   |   |   |     |
|---------------|---|---|---|---|---|-----|
| $n$           | 1 | 2 | 3 | 4 | 5 | ... |
| $h^0(L) \geq$ | 4 | 6 | 5 | 8 | ? |     |

One approach is to analyse the meromorphic map

$$\mu: Z \longrightarrow \mathbb{P}(H^0(Z, L)^*),$$

try to prove that  $B = \emptyset$  and construct a ladder of polarized varieties  $(Z^i, L)$  for  $i < n$ . This works for  $n = 2$ . Moreover, a Fano contact manifold  $Z^{2n+1}$  is homogeneous if  $\mu$  is generically finite [Beauville].

**Theorem [LeBrun-S].** There are finitely many Fano contact manifolds in each dimension. If  $b_2(Z) > 1$  then  $Z \cong \mathbb{P}(T^*\mathbb{P}^{n+1})$ .

From now on, we may therefore assume that  $b_2(Z) = 1$ .

**Theorem [Buczyński-Wiśniewski-Weber].** A Fano contact manifold is necessarily homogeneous if  $\text{Aut } Z$  is reductive of rank at least  $n - 2$ , in particular if  $n = 3$  or  $4$ .

Let  $M^{4n}$  denote a complete QK manifold with  $s > 0$ .

**Theorem [Besse, Poon-S, BWW].**  $M^{4n}$  is a Wolf space if  $n \leq 4$ ,  
i.e. one of eleven:  $\mathbb{H}\mathbb{P}^n$  or  $\mathbb{G}r_2(\mathbb{C}^{n+2})$  for  $n = 1, 2, 3, 4$ ,  
 $\mathbb{G}r_4(\mathbb{R}^{n+4})$  for  $n = 3, 4$ ,  
or  $G_2/SO(4)$ .

The table shows the lower bound on the isometry rank currently needed to infer that  $M^{4n}$  is symmetric:

| $n \rightarrow$ | 3 | 4 | 5 | 6 | 7 | 8 | 9  | 10 | 11 | 12 | 13 | 14 | 15 |
|-----------------|---|---|---|---|---|---|----|----|----|----|----|----|----|
| BWW             | 1 | 2 | 3 | 4 | 5 | 6 | 7  | 8  | 9  | 10 | 11 | 12 | 13 |
| Fang            | 5 | 5 | 6 | 6 | 7 | 7 | 8  | 8  | 9  | 9  | 10 | 10 | 11 |
| Bielawski       | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 | 13 | 14 | 15 | 16 |

From now on, we suppose that  $Z^{2n+1}$  is a *Fano contact manifold* with  $\text{Pic } Z = \mathbb{Z} \cdot L$ , and that  $\text{Aut } G$  is reductive with a maximal torus  $T = (\mathbb{C}^*)^r$ .

This places one in the realm of *T-varieties* with relatively high complexity  $2n + 1 - r$ , but simplifications arise from the contact structure  $TZ/D \cong L$  and the isomorphism  $H^0(Z, L) \cong \mathfrak{g}$ .

In any case, it is often useful to extend the toric case to study *downgrading*, i.e. the action of a lower-dimensional torus.

Buczyński, Wiśniewski and Weber use Białyński-Birula's decomposition [BB 1973, Carrell] and localization formulae to analyse the action of complex tori on  $Z$ . A special role is played by fixed points that define an extreme weight or vertex.

Subsequent slides highlight a selection of their results.

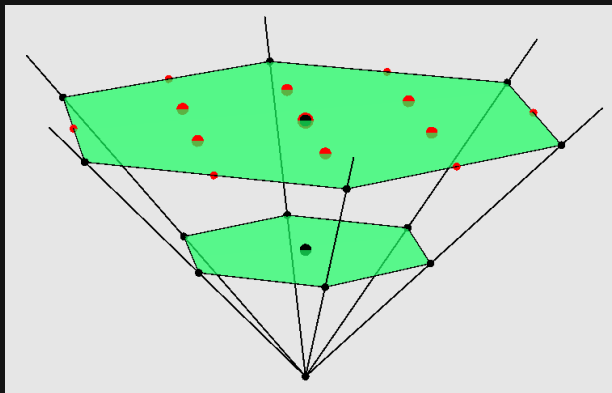
We suppose that  $Z^{2n+1}$  is a *Fano contact manifold*,  $\text{Pic } Z = \mathbb{Z} \cdot L$ , and that  $\text{Aut } G$  is reductive with maximal  $T = (\mathbb{C}^*)^r$ . The latter will have fixed components  $Y_i \subset Z$ , *points* if we're lucky.

This set-up gives rise to two polytopes in  $\mathfrak{t}^*$ :

- ▶  $\Gamma(L)$ , the convex hull of the weights of  $T$  on  $H^0(Z, L)$ . If  $L^m$  is very ample then  $\Gamma(L^m)$  is Kostant's polytope, the projection of an adjoint orbit to  $\mathbb{R}^r$ .
- ▶  $\Delta(L)$ , the convex hull of the weights  $\lambda$  of  $T$  on  $L_y$  for  $y \in Y_i$ . It is the image of the abelian moment mapping [Guillemin-Sternberg, Atiyah, Brion, ...].

$\Delta(L^m)$  merely scales with  $m$ . One always has  $\Gamma(L) \subseteq \Delta(L)$ , equality if (for example)  $B = \emptyset$ . For a twistor space,  $\lambda$  is a "rotation index" on the fibre  $S^2 \ni y$ . The shape of  $\Delta(L)$  at a vertex is determined by the compass of weights at  $y$ .

Consider the example of  $Z\mathbb{P}^2 = SU(3)/T^2 = \mathbb{F}$  :  
 $\Gamma(L^2)$  is generated by the 27 weights of  $H^0(\mathbb{F}, L^2)$ ;  
 $\Delta(L^2)$  is generated the action of  $T^2$  at the 6 fixed points.



A similar picture describes a hypothetical action by  $SU(3)$  on a singular Fano 5-fold  $Z^5 \subset Q_2 \cap C_3 \subset \mathbb{P}^7$ .

We suppose that  $Z^{2n+1}$  is a *Fano contact manifold*,  $\text{Pic } Z = \mathbb{Z} \cdot L$ , and that  $\text{Aut } G$  is reductive with maximal  $T = (\mathbb{C}^*)^r$ .

**Corollary 3.8 [BWW].** If every extremal component  $Y \subset Z^H$  has dimension at most 3 then  $\Gamma(L) = \Delta(L)$ .

*Proof.* The key point is that the restriction

$$H^0(Z, L) \rightarrow H^0(Y, L|_Y)$$

is surjective:

- ▶ If  $Y$  is a point it is the source of a  $\mathbb{C}^*$  action and  $\exists X \in |L|$  such that  $X \cap Y = \emptyset$ .
- ▶ If not,  $Y$  is Fano,  $h^0(L|_Y) \geq 2$ , and any holomorphic section  $s$  of  $L|_Y$  extends to  $\hat{s} \in H^0(Z, L)$  with weight  $\lambda$  matching the action on  $L|_Y$ .

These conclusions are based on the BB-decomposition or similar techniques.  $\square$

As a consequence:

**Lemma 4.7 [BWW].** If  $r \geq n - 2$  then  $\Gamma(L) = \Delta(L)$  and all extremal fixed point components are isolated points.

*Proof.* Construct a chain of  $i$ -dimensional faces of  $\Delta(L)$  that determine subtori

$$T = T^r \supsetneq T^{r-1} \supsetneq \dots \supsetneq T^1$$

and extremal fixed-point components

$$Y^0 \subsetneq Y^1 \subsetneq \dots \subsetneq Y^{r-1}$$

(with  $Y_i \subset Z^{T_{r-i}}$ ) of increasing dimensions. The last is isotropic, so

$$\dim Y^{r-1} \leq \frac{1}{2} \dim D = n \Rightarrow \dim Y^0 \leq 3.$$

Moreover,  $h^0(Y^0, L|_{Y^0})$  is the multiplicity of a root in  $\mathfrak{g}$ , i.e. 1, which forces  $Y$  to be a point.  $\square$



**Proposition 4.8 [BWW].** If  $r \geq n - 2$  then  $G$  is simple.

*Proof.* We know that  $\Gamma(L) = \Delta(L)$ . The former arises from the roots of  $\mathfrak{g}$ . The latter spans the same dimension as  $\Delta(L^m)$ , i.e.  $r$  (if  $L^m$  is very ample), so  $G$  is semisimple.

If (for example)  $G = G_1 \times G_2$  then  $\Delta(L) = \text{Conv}\langle R_1 \cup R_2 \rangle$  contains vertices  $u_1, u_2$  and (unless  $G_1, G_2$  are of type  $A_1, C$ ),  $u_2 - u_1$  must be a weight for the action of  $H$  on  $D_y^*$ . But then so is  $-u_1$  implying that  $u_1 - au_2 \in \Delta(L)$  with  $a > 0$ , contradiction.

A refined argument is needed for the other cases in which  $\frac{1}{2}(u_1 + u_2)$  lies in the root lattice.  $\square$

From now on, we assume that  $r \geq n - 2$ .

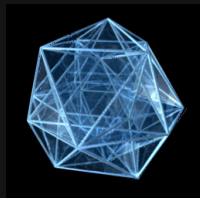
If  $Z = ZM$  is a twistor space, then the maximal torus  $T$  will fix  $m \in M$  and so  $T \subset Sp(n)Sp(1)$ . Thus  $r \leq n + 1 = \text{rank}(\mathbb{H}\mathbb{P}^n)$ .

We assume  $n - 2 \leq r$ , so that  $\Gamma(L) = \Delta(L)$ , extreme fixed points are isolated and  $G = \text{Aut } Z$  is simple.

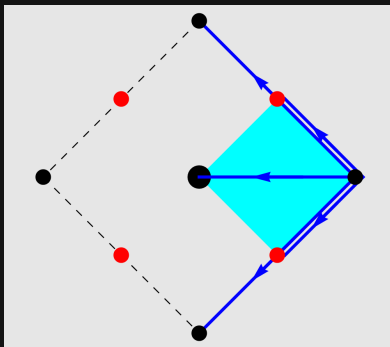
**Lemma.** If  $G$  is one of  $F_4, E_6, E_7, E_8$ , then  $\dim Z = 2n + 1$  is at least that of the homogeneous space, contrary to assumption:

|                 |       |       |       |       |
|-----------------|-------|-------|-------|-------|
| $G \rightarrow$ | $F_4$ | $E_6$ | $E_7$ | $E_8$ |
| $n \geq$        | 7     | 10    | 16    | 28    |

*Proof.* The (odd) complex dimension of  $Z$  exceeds the number of edges emanating from a vertex of the root polytope  $\Gamma(L)$ . That suffices for  $E_r$ . For  $F_4$  one needs to add interior pointing arrows to 6 short roots and the origin:

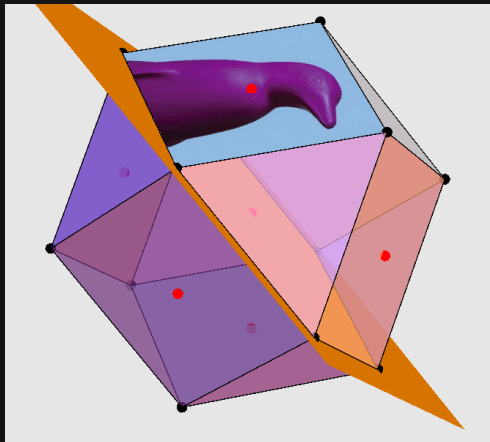


Illustrated for  $n = 2$ :

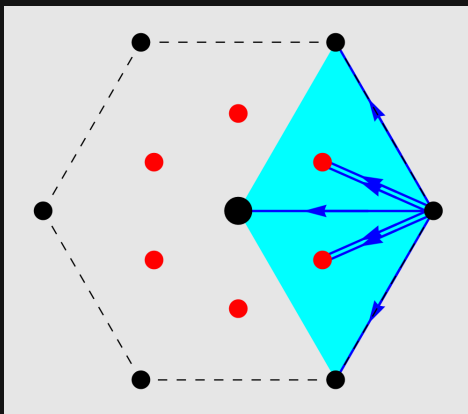


Midpoints of edges are also roots for this group, and each is the image of a  $\mathbb{P}^2$  (rather than a  $\mathbb{P}^1$ ). But this would imply a weight vector in  $T_z Z$  exiting the “contact domain”, contradiction.

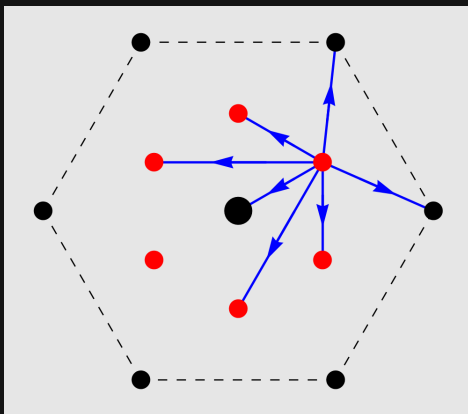
$T^3$  acts on  $\mathbb{R}^7 = \mathbb{R}^2 \oplus \mathbb{R}^2 \oplus \mathbb{R}^2 \oplus \mathbb{R}$  and on  $Z\text{Gr}_4(\mathbb{R}^7)$ . In the root polytope for  $SO(7) = B_3$ , there are 12 fixed points, all extreme:



Let  $T^2$  be a subtorus with LA orthogonal to a long diagonal.



The resulting moment map is the diagram for both the action of  $G_2$  on  $\text{Gr}(\mathbb{P}^1, \mathbb{Q}^5)$ , and on a hypothetical non-homogeneous  $Z^7$ . Arrows represent weights on  $T_y^*Z$  at an extreme fixed point  $y$  with target within the diamond-shaped contact domain.



This time the arrows represent weights on  $T_x Z$  at an inner fixed point  $x$ , and they all have multiplicity 1. One deduces that there is again a unique fixed point for each such weight, exactly as in the homogeneous case, and none at the origin.

For an arbitrary  $G_2$  action on  $Z^7$ , the fixed points and their compass weights are all completely determined.

In general, suppose that  $(Z, L)$  is a polarized variety acted upon by  $T = (\mathbb{C}^*)^r$ , such that:

- ▶  $T$  has isolated fixed points  $y_1, \dots, y_k$ ,
- ▶  $\lambda_i$  is the weight on  $L_{y_i}$  as used to define  $\Delta(L)$ ,
- ▶  $\nu_{i,j}$  are the weights on  $T_{y_i}Z$ .

**Theorem [Atiyah-Bott].** Given a weight  $\lambda = (a_1, \dots, a_r)$ , set

$$\mathbf{t}^\lambda = \prod_{k=1}^r \mathbf{t}_k^{a_k}. \text{ Then } \chi^T(Z, L) = \sum_{i=1}^k \frac{\mathbf{t}^{\lambda_i}}{\prod_j (1 - \mathbf{t}^{\nu_{i,j}})}.$$

When  $(Z, L)$  is Fano, this equivariant Euler characteristic yields  $H^0(Z, L)$  as a  $T$ -module.

For  $G_2$  acting on a Fano contact 7-fold  $Z$ , the fixed points and the weights  $(\lambda_i, \nu_{i,j})$  are all completely determined from the diagram within the polytope  $\Gamma(L) = \Delta(L)$ . Localization yields

**Conclusion.** The character of  $H^0(Z, L) \cong \mathfrak{g}_2$  equals

$$\frac{1}{s^3 t^2} \left[ 1 + t + 2st + 2s^2 t + s^3 t + 2s^2 t^2 + 3s^3 t^2 + 2s^4 t^2 + s^3 t^3 + 2s^4 t^3 + 2s^5 t^3 + s^6 t^3 + s^6 t^4 \right].$$

Setting  $s = t = 1$  gives  $h^0(L) = 21$ , contradiction!

In fact, the numerical data coincides with that for the action of  $G_2 \subset SO(7)$  on  $Z \text{Gr}(\mathbb{P}^1, \mathbb{Q}^5)$ . The case  $\text{Aut } Z^7 \cong SU(3)$  is similar, though for  $Z^9$  more work is needed to eliminate the possibility of fixed-point components of dimension 1.

Analysis of groups of type  $A, B, D$  remains!



- ▶ Interpret the fixed point analysis by means of Morse theory directly on the QK manifold  $M$ .
- ▶ It is conceivable that  $H^0(Z, L)$  is non-zero for higher dimensional Fano contact manifolds using as yet unknown inequalities on characteristic numbers, as in the hyperkähler case.
- ▶ But a simple group with  $r \geq 3$  on  $Z^{11}$  requires  $h^0(L) \geq 15$ , which seems out of reach.
- ▶ Do all Fano contact manifolds admit a Kähler-Einstein metric?