Spinors and instantons

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Exceptional bundles and special holonomy

1. The Horrocks bundle over \mathbb{CP}^5

Twistor geometry with symmetry



2. Cohomogeneity-one actions of SU(3)

Tautological tensors on domains of \mathbb{HP}^2



3. Invariant Spin(7) structures

Closed 4-forms and Spin(7) holonomy

Joint work with Udhay Fowdar



1.1 Complex projective space \mathbb{CP}^{2n+1}

The choice of a symplectic form ω on \mathbb{C}^{2n+2} determines an an indecomposable 'null-correlation' bundle E of rank 2n over \mathbb{CP}^{2n+1} .

Set $T = T\mathbb{CP}^{2n+1}$, and let $L = \mathcal{O}(-1)$ denote the tautological line bundle. Then E is defined as L_{ω}^{\perp}/L , and there are short exact sequences

The distribution E(1) defines a contact 1-form $\theta \in H^0(\mathbb{CP}^{2m+1}, T^*(2))$:

$$0 \neq \theta \wedge (d\theta)^n \in H^0(\mathbb{CP}^{2n+1}, K(2+2n)) = \mathbb{C}.$$

Such a holomorphic contact structure is a typical feature of the twistor space of an Einstein manifold, in this case \mathbb{HP}^n .



1.2 Low rank bundles

Indecomposable vector bundles over \mathbb{CP}^N with rank r < N are rare. Examples:

[1972] Horrocks-Mumford: r = 2 and N = 4 with 15,000 symmetries, giving rise to fibred Calabi-Yau 3-folds.

[1976] Tango: r = N - 1 for any N, determined by a subspace $W \subset \Lambda^2 \mathbb{C}^{N+1}$ of dimension $\binom{N-1}{2}$, disjoint from $\mathbb{G}r_2(\mathbb{C}^{N+1})$.

[1978] Horrocks: r = 3 and N = 5 using a monad

$$\mathcal{O}(-1) \stackrel{\alpha}{\longleftrightarrow} \Lambda_0^2 E \stackrel{\beta}{\twoheadrightarrow} \mathcal{O}(1),$$

where $\Lambda_0^2 E = \Lambda^2 E/\mathcal{O}$. Set $Y = \ker \beta / \operatorname{im} \alpha$. Assuming $\omega = e^{12} + e^{34} + e^{56}$, the linear maps

$$\alpha = e^{135} + e^{246}, \qquad \beta = e^{135} - e^{426}$$

are defined by stable elements of $H^0(\mathbb{CP}^5, \Lambda_0^2 E(1)) \cong \Lambda_0^3 \mathbb{C}^6$.



1.3 A real structure on \mathbb{CP}^{2n+1}

Identify \mathbb{C}^{2n+2} with \mathbb{H}^{n+1} by means of the anti-holomorphic involution j. This determines a reduction to $\operatorname{Sp}(2n,\mathbb{C}) \cap \operatorname{SL}(n,\mathbb{H}) = \operatorname{Sp}(n)$, and a fibration

$$\pi \colon \mathbb{CP}^{2n+1} \longrightarrow \mathbb{HP}^n \subset \mathbb{G}\mathsf{r}_2(\mathbb{C}^{2n+2}),$$

whose fibres are the 'real' (i.e. *j*-invariant) projective lines.

It is well known that E can be defined as the pullback of a complex vector bundle (also denoted E) with an 'instanton' connection over \mathbb{HP}^n . Naïve generalizations of the ADHM construction yield families of instantons with gauge group $\mathrm{Sp}(n)$.

When n = 2, we can realize the Horrocks (parent) bundle Y as the pullback of a subbundle of $\Lambda_0^2 E$, by further reducing the symmetry group from Sp(3) to SU(3). Today's aim is to explain this setup in a way that relates to Spin(7), and the construction of metrics with exceptional holonomy.

1.4 Generalized instantons

Suppose that M^d has an \widetilde{G} -structure, where $\widetilde{G} \subset SO(d)$ is the normalizer of some subgroup G with Lie algebra \mathfrak{g} . Examples arise from special holonomy:

d	G	\widetilde{G}
4	SU(2) ₊	$\mathrm{SU}(2)_{+}\mathrm{SU}(2)_{-}=SO(4)$
4 <i>n</i>	Sp(n)	$SU(2)_+SU(2) = SO(4)$ $Sp(n)Sp(1), n \ge 2$
2 <i>n</i>	SU(n)	$U(n), n \geqslant 2$
7	G_2	G_2
8	Spin(7)	Spin(7)

Definition. In this context, a connection on a bundle W over M^d is an **instanton** if its curvature F lies in $\mathfrak{g} \otimes \text{End } W$, where $\mathfrak{g} \subset \mathfrak{so}(d) \subset \Lambda^2 T_m^* M$.

Such connections yield absolute minima for the Yang-Mills functional $\int_M ||F||^2 dv$. Deformations are governed by an elliptic complex under a weak torsion condition [Reyes-Carrión]. For example, $d * \varphi = 0$ suffices for G_2 .



1.5 Quaternionic projective plane

$$\mathbb{HP}^2 = \frac{\mathbb{H}^3 \setminus \{0\}}{\mathbb{H}^*} \cong \frac{\mathsf{Sp}(3)}{\mathsf{Sp}(2) \times \mathsf{Sp}(1)}$$

Let H be the tautological line bundle with fibre \mathbb{C}^2 , and $E = H^{\perp}$ its orthogonal complement with fibre \mathbb{C}^4 (an instanton for $G = \operatorname{Sp}(2)$). We have

$$\underline{\mathbb{C}}^6 = E \oplus H, \qquad T \mathbb{HP}^2 \cong \text{Hom}(H, H^\perp) \cong E \otimes H.$$

Constant sections of $\bigotimes^k \underline{\mathbb{C}}^6$ distinguish tensors that encode holomorphic data:

k=1 $u \in \mathbb{C}^6$ reduces the symmetry to $\operatorname{Sp}(2) \times \operatorname{Sp}(1)$ and projects to sections of E and H that describe the geometry of the spinor bundle $\mathbb{HP}^2 \setminus \{o\} \longrightarrow \mathbb{HP}^1 = S^4$.

k=2 An invariant $\zeta \in S^2\mathbb{C}^6$ arises from the action of $U(1) \subset U(3) \subset Sp(3)$.

k=3 An invariant $\xi \in \Lambda^3 \mathbb{C}^6$ further reduces the isometry group to SU(3).

Both $Sp(2) \times Sp(1)$ and SU(3) act with cohomogeneity one on \mathbb{HP}^2 and provide two model geometries well known in the context of exceptional holonomy.

1.6 Adjoint orbits of G₂ (digression)

These are the Kähler manifolds

$$G_2/T^2 \qquad \qquad \searrow \qquad \qquad Q^5 = G_2/U(2)^- \qquad \qquad G_2/U(2)^+ = Z^5$$

that also occur in the study of closed G₂-structures on 7-manifolds [Ball].

 $Q^5 \cong \mathbb{G}$ r₂(\mathbb{R}^7) is the complex quadric. It possesses a horizontal holomorphic rank 2 vector bundle L_+ , used to characterize almost complex curves in S^6 [Bryant]. No indecomposable bundles on Q^N with r < N and 5 < N are known.

In characteristic 2, there is a map $f: \mathbb{CP}^5 \to Q^5$ such that $Y \cong f^*L_+ \oplus \underline{\mathbb{C}}$ [Faenzi].

 Z^5 is the twistor space of $M^8 = G_2/SO(4)$. It has a holomorphic rank 3 vector bundle pulled back from an instanton on M^8 [Nagatomo-Nitta].



2.1 Cohomogeneity-one actions by SU(3)

The following symmetric spaces of dimension 8 have such actions with principal orbit the Aloff-Wallach space $N_{1,0} \cong SU(3)/U(1)_{1,0,-1}$, and singular orbits chosen from $\{S^5, \mathbb{CP}^2, L\}$, where L = SU(3)/SO(3):

$$egin{array}{c|c} S^5 & \mathbb{HP}^2 & \mathbb{CP}^2 \ \mathbb{CP}^2 & Q^4 & \mathbb{CP}^2 \ L & \mathsf{G}_2/SO(4) & \mathbb{CP}^2 \ L & \mathsf{SU}(3) & S^5 \ \end{array}$$

In the first two cases, SU(3) extends to a global action by U(3). The Lie group SU(3) acts on itself by $A \mapsto X^{-1}A\overline{X}$, and the map $A \mapsto A\overline{A}$ defines a singular but equivariant fibration from SU(3) onto the hypersurface $\{B \in SU(3) : \operatorname{tr} B \in \mathbb{R}\}$.

All these compact spaces have reduced holonomy. They also admit Spin(7) structures (since $4p_2 - p_1^2 = 8\chi$), but not of course Spin(7) holonomy ($\widehat{A} = 0$). The aim of part 3 is to describe explicit Spin(7) structures over \mathbb{HP}^2 [Gray-Green].



2.2 The circle action on \mathbb{HP}^2

$$S^5 = rac{\mathrm{SU}(3)}{\mathrm{SU}(2)} \quad \longleftarrow \quad \boxed{N_{0,1} \times (0,b)} \quad \longrightarrow \quad rac{\mathrm{SU}(3)}{\mathrm{U}(2)} = \mathbb{CP}^2$$

The SU(3) orbits are preserved by U(1), whose fixed point set is the \mathbb{CP}^2 , and

$$\mathbb{HP}^2\big/U(1)\ \cong\ \mathcal{S}^7\subset\mathfrak{su}(3).$$

 $\mathbb{HP}^2\setminus\mathbb{CP}^2$ is diffeomorphic to the total space of a circle bundle over $\Lambda^2_-T^*\mathbb{CP}^{2*}$, a manifold that admits a complete Ricci-flat metric with holonomy G_2 [Atiyah-Witten].

The principal orbits are parametrized by $\|\zeta_H\|^2$, where η_H is a section of the vector bundle S^2H spanned by $\{I,J,K\}$, used to define the QK quotient $S^5/U(1) \cong \mathbb{CP}^{2*}$ for the action of U(1) [Galicki-Lawson, Battaglia].

We'll define ζ_H and related tensors in a tautological fashion next.



2.3 Degree 2 tensors

The action of U(1) determines a *constant* splitting of the trivial bundle

$$\underline{\mathbb{C}}^6 = \underline{\mathbb{C}}^3 \oplus j\underline{\mathbb{C}}^3 = \langle e^1, e^3, e^5 \rangle \oplus \langle e^2, e^4, e^6 \rangle \quad (= E \oplus H)$$

over \mathbb{HP}^2 and a U(3)-invariant section $\zeta=e^1e^2+e^3e^4+e^5e^6$ of

$$S^{2}\underline{\mathbb{C}}^{6} \cong (E \otimes H) \oplus S^{2}H \oplus S^{2}E$$

$$\zeta = X + \zeta_{H} + \zeta_{E}.$$

Lemmas. Let ∇ denote the Levi-Civita connection on \mathbb{HP}^2 .

- *X* is the Killing vector field associated to the action of U(1).
- ∇X can be identified with $\zeta_H + \zeta_E$ (in the holonomy algebra $\mathfrak{sp}(1) + \mathfrak{sp}(2)$).

Fixed points of U(1) occur when the fibres of $\underline{\mathbb{C}}^3 \cap H$ are non-zero, defining \mathbb{CP}^2 . But ζ_H vanishes at points where the fibre of H is ζ -isotropic, defining $S^5 \to \mathbb{CP}^{2*}$.



2.4 Degree 3 tensors

Fix a unit stable 3-form $e^{135} + e^{246}$; it defines a constant section η of

$$\Lambda_0^3 \underline{\mathbb{C}}^6 = \Lambda_0^3 (E \oplus H) \cong E \oplus (\Lambda_0^2 E \otimes H)$$
$$\eta = \eta_E + \eta_H.$$

Lemmas [Fowdar-S].

- The section η_E is (like X) nowhere zero on $\mathbb{HP}^2 \setminus \mathbb{CP}^2$.
- The rank of η_H is everywhere 2.
- $\nabla \eta_E$ can be identified with η_H , and $\nabla \eta_H$ can be identified with η_E .

Recall that $\Lambda_0^2 E$ is an instanton on \mathbb{HP}^2 (meaning $F_J^i \in \mathfrak{sp}(2)$). The same is true of the induced connection on the kernel V of $\eta_H \colon \Lambda_0^2 E \to H$.

Corollary [MamoneCapria-S]. V is a vector bundle on \mathbb{HP}^2 that possesses an instanton connection with gauge group SU(3), and $\pi^*V \cong Y$.

The 'pre Horrocks bundle' V has Chern class $c(V) = c(\Lambda_0^2 E - H) = 1 + 3x^2$.



2.5 Geometry of the Horrocks bundle (digression)

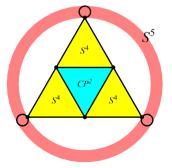
This has been studied by [Ancona-Ottaviani, Decker-Manolache-Schreyer]. It leads one to seek to a real interpretation of properties of Y, such as

Theorem [DMS]. The zero set of a generic section $s \in H^0(\mathbb{CP}^5, Y(2)) \cong \mathfrak{su}(3)$ is a reducible variety of degree 14 consisting of the disjoint planes $\mathbb{P}(\mathbb{C}^3), \mathbb{P}(j\mathbb{C}^3)$, three quadrics, and one del Pezzo surface dP_6 , meeting in an octahedron of lines.

The octahedredal graph projects to three points and six 2-spheres in \mathbb{HP}^2 . The points are joined by three quaternionic lines $m_i = S_i^4$, i = 1, 2, 3.

Each $m_i \cap S^5$ is a circle in \mathbb{HP}^2 that determines a real quadric in the twistor space \mathbb{CP}^3_i .

 dP_6 will be determined by the eigenvalues of s, and is invariant by a maximal torus of SU(3).



3.1 Spinors on \mathbb{HP}^2

The spin bundles over \mathbb{HP}^n satisfy $\Delta_+ - \Delta_- = \Lambda_0^n(E - H)$. For n = 2,

$$\Delta_+ \cong S^2 H \oplus \Lambda_0^2 E, \qquad \Delta_- \cong E \otimes H \cong T \mathbb{HP}^2.$$

A section of S^2H defines an almost complex structure, one of Λ_0^2E defines a reduction to $Sp(1)^3/\mathbb{Z}_2$, splitting each tangent space into two Cayley 4-planes. Neither can exist globally over \mathbb{HP}^2 .

Proposition. Let G be $Sp(2) \times Sp(1)$ or U(3). Then \mathbb{HP}^2 possesses G-invariant Spin(7) structures.

The proof uses the tensors of degrees 1,2,3. For U(3), we use the sections

- ζ_H of S^2H , vanishing only on S^5 ,
- $(\eta_E \wedge j\eta_E)_0 \sim (X \otimes X)_5$ of $\Lambda_0^2 E$, vanishing only on \mathbb{CP}^2 .

Let $t = \|\zeta_H\|^2 \in [0, b]$. Choose $\delta = f(t)\phi_E + g(t)\zeta_H$, where f(0) and g(b) are non-zero. This defines an Sp(1)²U(1) structure at generic points of \mathbb{HP}^2 .



3.2 Spinors, forms, and metrics

Given (M^8, g) with a unit spinor $\delta \in \Delta_+$, one can project its square

$$\delta \otimes \delta \in S^2 \Delta_+ \cong \Lambda^0 \oplus \Lambda^2 \oplus \Lambda_+^4$$

to obtain a 4-form Ψ in Λ_+^4 . The holonomy of g reduces to Spin(7) iff $d\Psi = 0$. However, to build up a full stock of metrics that are not conformal to a given one, we need more general 4-forms including ASD ones.

Consider the case of $Sp(2) \times Sp(1)$ acting on \mathbb{HP}^2 with singular orbits a point o and $\mathbb{HP}^1 = S^4$, the zero sets of tautological sections u_E, u_H of E, H (k = 1). Then $\mathbb{HP}^2 \setminus \{o\}$ can be identified with the spinor bundle over S^4 , and admits a complete metric g_{BS} with holonomy Spin(7). Its relationship with the symmetric metric g_{QK} is well known:

$$g_{\text{BS}} = 4(r+1)^{-2/5}g_{\text{ver}} + 5(r+1)^{3/5}g_{\text{hor}},$$

 $g_{\text{QK}} = 4(r+1)^{-1}g_{\text{ver}} + 5(r+1)^{-1}g_{\text{hor}}.$

The 4 + 4 splitting of $T_m \mathbb{HP}^2$ away from o is determined by $(u_E \wedge ju_E)_0$ in $\Lambda_0^2 E$.



3.3 Closed four-forms

Away from o, u_E determines sections $\Omega, \Omega_{14}, \Omega_5^-$ of constant QK-norm in three summands of

$$\Lambda^4 T_m^* \mathbb{HP}^2 = \underbrace{ \begin{bmatrix} \Lambda_1 \\ + \end{bmatrix} \oplus \Lambda_5^+ \oplus \underbrace{ \begin{bmatrix} \Lambda_{14} \\ + \end{bmatrix} \oplus \Lambda_{15}}_{+} \oplus \underbrace{ \begin{bmatrix} \Lambda_5^- \\ - \end{bmatrix} \oplus \Lambda_{30}}_{-},$$

since $\Lambda_5^-\cong \Lambda_0^2 E$ and $\Lambda_{14}\cong S_0^2(\Lambda_0^2 E)$. Here Ω is the closed QK 4-form, and $d\Omega_{14}=-5dr\wedge\Omega_{14}$. It is easy to construct closed 4-forms, but not useful ones. The stabilizer of

$$\Psi_{a,b,c} = a\Omega_{14} + b\Omega + c\Omega_5^-$$

is Spin(7) if $(a+8b)(3a+4b)=4c^2$ (with a>2b and a+3b>|c|). This forces a,b,c to be constant multiples of a function of the radius $r=\|u_H\|^2/(1-\|u_H\|^2)$:

Proposition. If $(a, b, c) = (-\frac{56}{5}, -\frac{3}{5}, 12)(r+1)^{16/5}$ then $\Psi_{a,b,c}$ is the closed 4-form associated to g_{BS} .



3.4 Spin(7) holonomy with Sp(2) (digression)

The complete AC Spin(7) metric g_{BS} is asymptotic to a cone over squashed S_{sq}^7 and invariant by Sp(2)×Sp(1). It is the 'limit' of a one-parameter family (\mathbb{B}_8) of complete ALC Spin(7) metrics invariant by Sp(2) × U(1), and asymptotic to a circle of fixed radius ℓ times a cone over \mathbb{CP}^3_{nK} [Cvetič-Gibbons-Lü-Pope, Bazaĭkin].

An analogous family (\mathbb{C}_8) of Spin(7) metrics exists on $K_{\mathbb{CP}^3}$ in which the role of g_{BS} is played by Calabi's metric with holonomy SU(4), similarly $K_{\mathbb{F}}$ [CGLP, B].

The search for such packages of Ricci-flat metrics on 8-manifolds focusses attention on circle fibrations $nP^7 \to nK^6$ of Einstein manifolds. Such fibrations occur naturally over the two self-dual Einstein 4-manifolds:

$$S^7_{\text{sq}} \to \mathbb{CP}^3_{\text{nK}} \to S^4, \qquad \textit{N}_{0,1} \to \mathbb{F}_{\text{nK}} \to \mathbb{CP}^2.$$

Nearly parallel G_2 metrics come in three types because their cone can have holonomy Spin(7), SU(4), or Sp(2) if nP^7 is 3-Sasakian [Boyer-Galicki]. The latter can be deformed along the 3 Killing fields, giving rise to a system of 3 ODE's.



3.5 The action of SU(3) on non-compact 8-manifolds

Metrics with holonomy Spin(7) and SU(3) symmetry were conjectured and studied by [Gukov-Sparks, G-S-Tong, Kanno-Yasui].

Let W denote the normal bundle of *either* singular orbit S^5 or \mathbb{CP}^2 in \mathbb{HP}^2 . Work of [Reidegeld, Bazaĭkin], and [Foscolo-Haskins-Nordström] for G_2 , has culminated in

Theorem [Lehmann]. W admits a complete AC Spin(7) metric, invariant by U(3), asymptotic to a cone over $N_{1,0}$, AND a 1-parameter family g_{ℓ} of ALC Spin(7) metrics, each asymptotic to a cone over $\mathbb{F} = SU(3)/T^2$ times a circle of radius ℓ . As $\ell \to 0$, the space collapses to $\Lambda^2_-\mathbb{CP}^2$ with its G_2 metric.

More ALC/AC Spin(7) packages exist with $nP^7 = N_{k,l}$ [Chi].

The self-dual Einstein set-up can be extended to the case in which M^4 is an orbifold, in particular a QK quotient of \mathbb{H}^n [Foscolo], but M^4 should itself be the base of a circle fibration for collapse with bounded curvature.



3.6 Work in progress

Spaces associated to the Hitchin orbifolds. Let SO(3) act irreducibly on S^4 . There is a family of SO(3)-invariant self-dual Einstein orbifold metrics M_k (with a \mathbb{Z}_{k-2} singularity along \mathbb{RP}^2) [Hitchin, Tod]. M_4 can be identified with $\mathbb{CP}^2/\langle\sigma\rangle$, and its twistor space is a cubic surface in \mathbb{CP}^4 defined by the unique SU(2) invariant in $S^3(S^4(\mathbb{C}^2))\cong \Lambda^3(S^6(\mathbb{C}^2))$. The 'same' invariant defines the 3-form on the Berger space $B^7=SO(5)/SO(3)$, whose cone has Spin(7) holonomy.

 B^7 is diffeomorphic to an S^3 bundle over S^4 [Goette-Kitchloo-Shankar], but more to the point, there are nearly-free S^3 actions giving orbifold fibrations

All the 3-Sasakian spaces associated to the M_k have cohomogeneous-one actions by SO(4), and are candidates for admitting a metric with positive sectional curvature [Grove-Wilking-Ziller]. This motivates a study of SO(4)-invariant nearly parallel metrics on such 7-manifolds [S-Singhal].

Closed 4-forms on 8-manifolds. There must exist U(3)-invariant closed 4-forms with stabilizer Spin(7) on domains of \mathbb{HP}^2 , but their components may be linear combinations of invariants occurring amongst all 6 components of $\Lambda^4 T^* \mathbb{HP}^2$.

The normal bundle of L=SU(3)/SO(3) has no U(3)-invariant metrics with Spin(7) holonomy. On the other hand, $G_2/SO(4)$ admits free families of closed non-parallel 4-forms with stabilizer Sp(2)Sp(1) [Conti-Madsen-S]. The analogous statement for \mathbb{HP}^2 is open.

Nearly Spin(7) metrics? It is tempting to look for special classes of Spin(7) metrics with non-zero

$$d\Psi \ \in \ \Lambda^5 = \Lambda^5_8 \oplus \Lambda^5_{48}.$$

A naïve class consists of Einstein metrics with $d\Psi \in \Lambda_8^5$, including the sine cone over nP⁷. On the other hand, any 5-form has a rank relative to the isomorphism

$$\Lambda^5 \cong \Lambda^1 \otimes \Lambda_7^4 \qquad (56 = 8 \times 7),$$

which helps highlight degenerate Spin(7) orbits.

