Export Control Policy

This is a VERY brief overview of what you need to know about export control regulations and is informational only

A complete Duke Kunshan Export Control Policy is in preparation and will be available at the site below when approved

https://dukekunshan.edu.cn/en/research/research-support-office/policies

Export control laws and policies are very complex. Please contact the Office of Research Support and Technology Transfer if you have questions about the Duke Kunshan Export Control Policy

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Export Control Policy

At a Glance

Office of Faculty Affairs

At a Glance

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Duke Kunshan University

What is Export Control?

Most world Governments have regulations that control the export of items and information to other countries. This pamphlet pertains mainly to the USA and China, but other countries have similar regulations. In general, the regulations cover five types of university activities

1. Transfer of controlled or _dual-use_* information, including technical data, by US or Chinese citizens to persons outside the USA or China respectively.

2. Shipment of controlled items, _dual-use_* items or scientific equipment that requires an export license to another country

3. Verbal, written or electronic disclosures of controlled scientific or technical information to foreign nationals within a host country

4. Travel to sanctioned or embargoed countries for purposes of teaching or research (including attending conferences)

5. Provision of information, teaching materials or courses to people in sanctioned countries via the internet (intentionally or unintentionally)

Individuals who violate export control regulations are subject to prosecution and a fine, imprisonment and/or loss of visa

Dual-use refers to items, technology, or data/ information pertaining to items or technology that, while not intended for military use have the potential for military use. For example, technology related to air-traffic control, or pathogen delivery (e.g. aerosol vaccines)

Who needs to know?

Anyone who is conducting one of the following activities at DKU

1. Developing new technologies
2. Modifying existing technologies
3. Traveling to a sanctioned country to teach, attend a conference or conduct research
4. Traveling to a sanctioned country with technical data (e.g. on a laptop) even if it is not intended to be used there
5. Developing online resources (such as courses, websites, lectures, movies etc.) that may be viewed by someone in a sanctioned country (even incidentally)
6. Transporting or shipping equipment abroad

What guidance can I expect to get from DKU regarding export control regulations?

The Office of Research Support and Technology Transfer (ORSTT) can help you understand whether your scholarship and/or teaching activities are in danger of violating export control regulations. If necessary, they will seek expert legal advice on your behalf

Am I responsible for the activities of students whom I supervise?

Yes

What do I need to do?

You **will be required to** complete a DKU Export Control Declaration Form annually. By declaring your research activities to the ORSTT, they can alert you if you are inadvertently carrying out restricted activities.

Before then, please visit the link below for detailed information about export control regulations and online training

And consult the ORSTT if you think your teaching or scholarship may be subject to export control

DUKE UNIVERSITY INTRODUCTION TO EXPORT CONTROL

https://export.duke.edu/custom/training/story_html5.html

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